

ATT Working Group on Transparency & Reporting

Aggregated data in ATT annual reports

UN Institute for Disarmament Research (UNIDIR)

28 April 2022

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Purpose

- ATT objective: Promoting transparency by States Parties to build confidence
- WGTR co-chair draft report called for follow-up on UNIDIR's presentation to WGTR during ATT CSP7 (2021)
- To promote discussion and exchange between States Parties: Show some of the ways in which data is aggregated in annual reports

Reminder

Common understanding not treaty obligation to provide “minimum” information requested in reporting form for each category:

- Number of item or the financial value of the exported/imported conventional arms
- Exporting State / Final importing State

“For reasons of consistency and continuity, it is desirable that States Parties’ choices in this respect, once made, remain stable over time.”

Categories 2 (1) a-g: No exporting State

Category of arms ⁴ [I-VIII]			Authorised or actual imports ⁵		Extent of imports ⁶ (choose one or both)		Exporting State ⁹	State of origin (if not exporter) ¹⁰	Remarks ¹¹	
			Auth.	Act.	Number of items ⁷	Value ⁸			Description of Item	Comments on the transfer
1			2	3	4	5	6	7	8	9
II.	Armoured combat vehicles		<input type="checkbox"/>	x <input type="checkbox"/>	4					
III.	Large-calibre artillery systems		<input type="checkbox"/>	x <input type="checkbox"/>	3					
IV.	Combat aircraft	a) manned	<input type="checkbox"/>	x <input type="checkbox"/>	6					
		b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>						
V.	Attack helicopters	a) manned	<input type="checkbox"/>	<input type="checkbox"/>						
		b) unmanned	<input type="checkbox"/>	<input type="checkbox"/>						
VI.	Warships		<input type="checkbox"/>	x <input type="checkbox"/>	1					
VII.	Missiles & missile launchers	a) Missiles etc	<input type="checkbox"/>	<input type="checkbox"/>						
		b) MANPADS	<input type="checkbox"/>	<input type="checkbox"/>						

Category 2 (1) g: No «units» nor «value»

VII. Missiles & missile launchers - b) MANPADS		Authorised or actual exports [5]		<input type="checkbox"/> authorised	<input checked="" type="checkbox"/> actual
Extent of exports [6] (choose one or both)		Final importing State [9]	State of origin (if not exporter) [10]	Remarks [11]	
Number of items [7]	Value[8]			Description of Item	Comments on the transfer
Classified		Ireland		RBS 70	
Classified		Czech Republic		RBS 70	
Classified		Lithuania		RBS 70	

Aggregated data on authorizations: SALW

B. VIII. Small Arms and Light Weapons ^{14, 15}								
Small Arms (aggregated) ¹⁶	<input checked="" type="checkbox"/>	<input type="checkbox"/>		3 399 €	Algeria			
	<input checked="" type="checkbox"/>	<input type="checkbox"/>		114 615 €	Australia			
	<input checked="" type="checkbox"/>	<input type="checkbox"/>		5 963 €	Austria			
	<input checked="" type="checkbox"/>	<input type="checkbox"/>		590 €	Bhutan			
	<input checked="" type="checkbox"/>	<input type="checkbox"/>		86 755 €	Bosnia-Herzegovina			
	<input checked="" type="checkbox"/>	<input type="checkbox"/>		639 113 €	Brazil			
	<input checked="" type="checkbox"/>	<input type="checkbox"/>		124 084 €	Brunei			

Aggregated data on actual exports: SALW

Category of arms ⁴ [I-VIII]	Authorised or actual exports ⁵		Extent of exports ⁶ (choose one or both)		Final importing State ⁹	State of origin (if not exporter) ¹⁰	Remarks ¹¹	
	Auth. 2	Act. 3	Number of items ⁷ 4	Value ⁸ 5			Description of Item 8	Comments on the transfer 9
1	2	3	4	5	6	7	8	9
			B. VIII. Small Arms and Light Weapons ^{14, 15}					
Small Arms (aggregated) ¹⁶ as well as Light Weapons (aggregated) ¹⁷			1		AD			
			67		AE			
			500		AL			
			8701		AR			
			55045		AU			
			624		BA			
			95		BB			
			3		BG			
			2		BH			
			3		BN			
			3304		BO			
			335213		BR			
			20220		CA			
			50168		CH			
			2294		CL			
			3		CY			
			1279		CZ			
			117		DE			
			160		DM			
			33287		EC			
			23		ES			
			10		FI			
			25		FR			
			1003		GA			
			106084		GB			
			5		GE			
			5		GL			
			325		GY			
			1		HR			
			15		HU			
			5		ID			
			1346		IE			
			1		IN			
			1109		IS			
			296		IT			
			6150		JO			
			1183		JP			
			926		KE			
			87		KG			
			1494		KR			
			47		KW			
			50		KZ			

Aggregated totals for each sub-category for SALW exports

B. VIII. Small Arms and Light Weapons ^{14, 15}								
Small Arms (aggregated) ¹⁶		<input type="checkbox"/>	<input type="checkbox"/>			Multiple states		
1.	Revolvers and self-loading pistols	<input checked="" type="checkbox"/>	<input type="checkbox"/>	283				
2.	Rifles and carbines	<input checked="" type="checkbox"/>	<input type="checkbox"/>	2137				
3.	Sub-machine guns	<input type="checkbox"/>	<input type="checkbox"/>	NIL				
4.	Assault rifles	<input checked="" type="checkbox"/>	<input type="checkbox"/>	68				
5.	Light machine guns	<input type="checkbox"/>	<input type="checkbox"/>	NIL				
6.	Others	<input checked="" type="checkbox"/>	<input type="checkbox"/>	602				

Aggregated totals for each sub-category for SALW imports

Armas pequeñas (total) ¹⁶		<input type="checkbox"/>	<input type="checkbox"/>						
1.	Revólveres y pistolas automáticas	<input checked="" type="checkbox"/>	<input type="checkbox"/>	4,059	N/A	1) Panamá 2) Rep. Checa 3) Estados Unidos 4) Turquía 5) Italia 6) Brazil			
2.	Fusiles y carabinas	<input checked="" type="checkbox"/>	<input type="checkbox"/>	287	N/A	1) Estados Unidos 2) Israel			
3.	Metralletas	<input type="checkbox"/>	<input type="checkbox"/>						
4.	Fusiles de asalto	<input type="checkbox"/>	<input type="checkbox"/>						
5.	Ametralladoras ligeras	<input type="checkbox"/>	<input type="checkbox"/>						

Aggregated totals for each sub-category for SALW imports

Small Arms (aggregated) [16]

Authorized or actual imports [5]

☐ authorised☐ actual

Extent of imports [6] (choose one or both)		Exporting State [9]	State of origin (if not exporter) [10]	Remarks [11]	
Number of items [7]	Value[8]			Description of Item	Comments on the transfer
7280		all		rifles	
7510		all		rifles (small caliber)	
15880		all		shotguns	
6740		all		pistols/revolvers	
9720		all		other firearms	
54560		all		accessories	
13 150		all		silencers	

Aggregated totals for each sub-category for SALW imports

B. VIII. Armas pequeñas y armas ligeras ^{14, 15}									
Armas pequeñas (total) ¹⁶		X	X						
1.	Revólveres y pistolas automáticas	X	X	466		R. CHECA, ISRAEL, EEUU		PISTOLAS CZ, BULL CHEROKEE, MOSSEBERG Y GLOCK	
2.	Fusiles y carabinas	<input type="checkbox"/>	<input type="checkbox"/>						
3.	Metralletas	<input type="checkbox"/>	<input type="checkbox"/>						
4.	Fusiles de asalto	<input type="checkbox"/>	<input type="checkbox"/>						
5.	Ametralladoras ligeras	<input type="checkbox"/>	<input type="checkbox"/>						
6.	Otros ESCOPETAS	<input type="checkbox"/>	<input type="checkbox"/>	687		REINO UNIDO EEUU		Escopetas marca Beretta,	

No «number of units» or «value»: SALW

Light Weapons (aggregated) [17]		Authorised or actual exports [5]		<input type="checkbox"/> authorised <input checked="" type="checkbox"/> actual	
Extent of exports [6] (choose one or both)		Final importing State [9]	State of origin (if not exporter) [10]	Remarks [11]	
Number of items [7]	Value[8]			Description of Item	Comments on the transfer
Classified		South Africa		Carl Gustaf	
Classified		South Africa		AT4	
Classified		Hungary		Carl Gustaf	
Classified		United States of America		Carl Gustaf	
Classified		United States of America		AT4	
Classified		Malaysia		NLAW	

A «mixed» approach: SALW exports

Category of arms ⁴ [I-VIII]		Authorised or actual exports ⁵		Extent of exports ⁶ (choose one or both)		Final importing State ⁹	State of origin (if not exporter) ¹⁰	Remarks ¹¹	
		Auth.	Act.	Number of items ⁷	Value ⁸			Description of Item	Comments on the transfer
1		2	3	4	5	6	7	8	9
6.	Others	X	<input type="checkbox"/>	17	N/A	LT, LV			
Light Weapons (aggregated) ¹⁷		X	<input type="checkbox"/>	0	N/A	N/A			
1.	Heavy machine guns	X	<input type="checkbox"/>	0	N/A	N/A			
2.	Hand-held under-barrel and mounted grenade launchers	X	<input type="checkbox"/>	0	N/A	N/A			
3.	Portable anti-tank guns	X	<input type="checkbox"/>	0	N/A	N/A			
4.	Recoilless rifles	X	<input type="checkbox"/>	0	N/A	N/A			
5.	Portable anti-tank missile launchers and rocket systems	X	<input type="checkbox"/>	0	N/A	N/A			
6.	Mortars of calibres less than 75 mm	X	<input type="checkbox"/>	0	N/A	N/A			
7.	Others		x	10	N/A	Latvia	UK	81 mm mortar NM95	

A «mixed» approach: Small arms imports

	Small Arms (aggregated) ¹⁶	<input type="checkbox"/>	<input type="checkbox"/>					
1.	Revolver and sel flooding pistols		<input checked="" type="checkbox"/>	24		AU		GLOCK 20 Bought from Danish supplier
2.	Revolvers and self-loading pistols	<input checked="" type="checkbox"/>	<input type="checkbox"/>	1552		Multiple exporting states		
3.	Rifles and carbines	<input checked="" type="checkbox"/>	<input type="checkbox"/>	6961				
4.	Sub-machine guns	<input type="checkbox"/>	<input checked="" type="checkbox"/>	7				
5.	Assault rifles	<input checked="" type="checkbox"/>	<input type="checkbox"/>				Czech Republic (5) and Switzerland (2)	
6.	Light machine guns	<input type="checkbox"/>	<input checked="" type="checkbox"/>					

A «mixed» approach: Small arms imports

Small Arms (aggregated) ¹⁶		<input type="checkbox"/>	<input type="checkbox"/>						
1.	Revolvers and self-loading pistols	<input type="checkbox"/>	<input type="checkbox"/>						
2.	Rifles and carbines	<input type="checkbox"/>	<input type="checkbox"/>	8	Austria				
3.	Sub-machine guns	<input type="checkbox"/>	<input type="checkbox"/>						
4.	Assault rifles	<input type="checkbox"/>	x <input type="checkbox"/>	250					
5.	Light machine guns	<input type="checkbox"/>	<input type="checkbox"/>						
6.	Others	<input type="checkbox"/>	<input type="checkbox"/>						
Light Weapons (aggregated) ¹⁷		<input type="checkbox"/>	<input type="checkbox"/>						

A «mixed» approach: Small arms imports

B. VIII. Small Arms and Light Weapons ^{14, 15}								
Small Arms (aggregated) ¹⁶		<input type="checkbox"/>	<input type="checkbox"/>					
1.	Revolvers and self-loading pistols	<input type="checkbox"/>	x	185		Germany, Italy, Slovakia, USA, United Kingdom		
			x	29		German/USA	9mm self-loading pistols	Military Procurement
2.	Rifles and carbines	<input type="checkbox"/>	x	1907		Austria, Canada, Czech Republic, Germany, Italy, Slovakia, Spain, Switzerland, USA, United Kingdom		
3.	Sub-machine guns	<input type="checkbox"/>	<input type="checkbox"/>					

Summary

- Aggregation of data primarily relates to imports and exports of SALW
- Methods of aggregation
 - Not using sub-categories for reporting SALW imports and exports
 - Providing aggregated units or value for category or sub-category, not providing a breakdown for each importing or exporting State
- Taking into account “security considerations”, but providing a description of items
- Different approaches are being used to fulfil reporting obligations
 - Why do some States Parties use a “mixed approach” for reporting on SALW imports?



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