



Arms Trade Treaty Voluntary Trust Fund

FINAL REPORT

Ministry of National Defense

Project No.: ATT.VTF.G2017.002SLV

10 July 2018

Arms Trade Treaty Voluntary Trust Fund - Final Report

Project number	ATT.VTF.G2017.002SLV
Grantee name	Ministry of National Defense
Project title	Strengthening the capacities of the National Authority for the implementation of the ATT through equipment and training
Grant Amount	USD \$97,081.00
Final Report submission date	10 July 2018
Period covered under this report (01/01/18 – 07/10/18)	January 1st 2018 – April 10th 2018.

1. Project activities and outcomes

a Describe the project outcomes.

The second national workshop for development "Identification of parts, components and munitions Technical" for the implementation of the ATT, January 23-24 of 2018, which specialist from entities related to the application of the ATT like the Ministry of Foreign Affairs, Ministry of Justice and Public Security, Directorate General of Customs, INTERPOL, OIE and Ministry of Defense as the coordinator of the National Authority, retaken issues such as: the basis of the object of the Treaty regarding the regulation of international in conventional weapons and the prevention of their illicit trafficking, deep interpretation of the eight categories of conventional weapons established in art. 2 of the Treaty, the exercise of the identification of parts, components and ammunition of small arms and light weapons. 28 officials were attended with the support of two UNLIREC consultants. The second workshop had a cost of bakery service of **\$1,117.00**, supplies for **\$103.94** and the transfer to the consultants for **\$7,500.00 (with a remnant of \$298.66)** in total the second workshop cost **\$8,422.28 8,721.84 (bakery service, consultants, flight, and supplies)** as "VTF Expenditure Template – Final Report (El Salvador) in Attachment 2"(Attachment No. 5: Workshop photos)

The third national workshop for development "National List" for the implementation of the ATT, April 11-12 of 2018, which specialist from entities related to the application of the ATT like the Ministry of Foreign Affairs, Ministry of Justice and Public Security, Directorate General of Customs and and Ministry of Defense as the coordinator of the National Authority, retaken issues such as: The obligations of the ATT, in relation to the national control list; such as the case of Argentina, Mexico and the common military list of the EU, as well as the list of dual-use products of the EU, review of the internal normative base and the review of the annual report of the State of El Salvador, 20 officials were attended with the support of two UNLIREC consultants. The third workshop had a cost of bakery service of **\$814.00**, supplies for **\$110.56** and the transfer to the consultants for **\$9,207.20 (this payment will release, when UNRILEC liquidates the funds used in the second and third workshop, However, this disbursement will decrease, due to the remainders of workshops 1(\$1825.65) , 2(\$298.66) and 3(+\$107.20 surplus), according to those settled, by UNLIREC) (Annex 10)** in total the third workshop cost **\$10,131.76 (bakery service, consultants, flight, and supplies)** as "VTF Expenditure Template – Final Report (El Salvador) in Attachment 2" (Attachment No. 9: Workshop photos)

Attachment 13 (UNLIREC Final Report, detailed funds expenses of the 3 workshop, including the 5% of Indirect Support Cost, being a total Amount of **27,807.03**)

b Describe how the project has assisted your implementation of the ATT.

In the second workshop, For Officials competent in the identification of conventional weapons, parts, components and ammunition, under the scope of application of the TCA and that will be part of the national control list, addressing the following o deep topics and exhaustive interpretation of the eight (8) categories of conventional weapons established in art. 2 of the Treaty on arms ammunition, parts and components, an exercise was developed for the identification of parts, components and ammunition of small arms and light weapons and finally the personnel of the participating institutions, expressed the interest of giving continuity to the planning and execution of the activities related to implementing the TCA.

In the third workshop, approach topics like how to elaborate a National Control List in the best way, taking as reference examples of another National Authorities, as UE, México, Costa Rica, in the future will be technical meeting between the members of National Authority of El Salvador to regulate and standardize the List, to arrange a unique document. With the purpose of giving continuity to the aforementioned subject and having the deep knowledge of the TCA, in the understanding that it would help to work efficiently with the National Authority, regarding the application of the TCA

c List all States that benefitted from the project.

State of El Salvador.

d Were all the project activities as specified in the Project Schedule (see Annex G) completed?

Yes No

If no, explain why and describe any problems, constraints and difficulties experienced in implementing the project.

Project progress must be indicated against the Project Schedule (see Attachment 1). Please indicate the Status of all activities highlighted in YELLOW in the Project Schedule using the drop-down menu in Column E. Please also include Comments in Column F where you think appropriate (e.g. to explain why certain activities have not been completed on time).

What recommendations would you make in this regard?

Support the financial and technical cooperation, in relation to the training and application of the project called "strengthening the capacities of the national authority for the implementation of the ATT"

e How would you rate the *relevance* of the project (was the project suited to existing priorities and policies of the benefitting State(s))?

Not relevant at all	Not very relevant	Moderately relevant	Relevant	Very relevant
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Explain your answer:

For the State of El Salvador, was very usefull to implement the enforcement of ATT through the , the differents topics as an implementation

f How would you rate the *effectiveness* of the project (to what extent has the project attained its stated objectives)?

Not effective at all	Not very effective	Moderately effective	Effective	Very effective
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Explain your answer:

Due to the objectives established in the project have been satisfactorily achieved.

g How would you rate the *efficiency* of the project (to what extent were the project results attained on time and within budget)?

Not efficient at all	Not very efficient	Moderately efficient	Efficient	Very efficient
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Explain your answer:

In time, the project gets a great develop, but with the budget, as Salvadorian State, but, unfortunately, with the cost of flight, the DSA, and some taxes, at the time of liquidation, the disbursements, UNLIREC has not been able to provide the invoices that support the expenses, however, they sent an interim report of expenses, certificate, by an agent in charge of the settlement issue.

h Please describe the impact of the project (i.e. the positive or negative changes or effects that the project results have on the surrounding circumstances).

The development of the Project called: "Strengthening the capabilities of the ATT" is helping to implement the Treaty in mention, as follows:

- With the acquisition of the laser machine for the marking of weapons, increased the marking of civil and military weapons, being more efficient, due to which allows to mark a greater quantity of weapons in less time, than the machine of micropoint marking.

- Regarding the development of the workshops, it has been possible to integrate the relevant institutions in relation to the ATT, as well as the implementation of the National Legislation on arms trade.

- Knowledge has been applied in the identification of parts, weapons, components and ammunition, as established in Art. 2 of the ATT.

- According to the elaboration of the National List of Control, it is in development, making efforts,

oriented to the elaboration and standardization, the list of control with the other institutions involved, in order to standardize term and not duplicate efforts.

- Provide follow-up on the part of the institutions involved, including the exchange of information, training and periodic meetings

i How would you rate the *sustainability* of the project (to what extent can the project benefits continue after the project has finished)?

Not sustainable at all	Not very sustainable	Moderately sustainable	Sustainable	Very sustainable
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Explain your answer:

The sustainability, would be based on the constant and permanent training of the main actors about the implementation and execution of ATT.

j How will the Project outcomes be further used or applied in the future? Are there plans for the activities to be continued or for the experience gained to be shared?

The Salvadoran State firmly believes and committed to the implementation of the ATT, so, with the results obtained from the projects, it would be good to continue with the training for the corresponding agents for the application of the ATT, in following of the institutions involved to. If El Salvador were selected as a formator of trainers, it would be good to share the experiences acquired, in order to benefit the other States Parties or with other institutions.

2. Final expenditure report

Please complete the Worksheet titled 'input' in the VTF Expenditure Template – Final Report (El Salvador) in Attachment 2.

3. Certification

Please complete a separate certification for each consultant engaged to undertake the project activities that have been implemented.

For the purposes of this certification:

Grantee means: **Ministry of National Defense.**

Consultant means [Karina Hinojosa (UNLIREC)]

I **Carlos Alberto Ramírez Hernández** being a person duly authorised by the Grantee hereby certify that:

1. The Consultant was engaged by the Grantee on or after the date the Grant Agreement was executed to deliver services to the Project.
2. The service provided by the Consultant to the Grantee has been completed in accordance with the Grant Agreement.
3. The amount paid by the Grantee to the Consultant for the provision of services to help implement the Grant project to date is [4525.32]
4. I have attached the tax invoice provided to the Grantee by the Consultant for the provision of services for the Grant project to date.
5. I have attached a receipt from the Consultant confirming that the amount referred to in 3 above has been paid in full by the Grantee. **Attached in Annex No. 2 (second page), the receipt of accepted the second transfer by UNLIREC.**
6. All the information I have provided to the ATT Secretariat (including the contents of this declaration) is complete, true and correct.
7. I am aware of the Grantee's obligations under their Grant Agreement, including the need to keep the ATT Secretariat informed of any circumstances that may impact on the objectives, completion and/or outcomes of the agreed project.
8. I am aware that the Grant Agreement empowers the ATT Secretariat to terminate the Grant Agreement and to request repayment of funds paid to the Grantee where the Grantee is in breach of the Grant Agreement.

Signed..... Date **Jul/10/2018**

Director of Defense Policy/ Colonel

Please submit this report and signed declaration to ATT Secretariat along with;

- A copy of the tax invoice issued to you by the Consultant for the provision of services
- Proof the Consultant was paid. This could be a receipt issued to you by the Consultant or a bank statement.

For the purposes of this certification:

Grantee means: **Ministry of National Defense.**

Consultant means [**Rodolfo Gamboa (UNLIREC)**]

I **Carlos Alberto Ramírez Hernández** being a person duly authorised by the Grantee hereby certify that:

9. The Consultant was engaged by the Grantee on or after the date the Grant Agreement was executed to deliver services to the Project.
10. The service provided by the Consultant to the Grantee has been completed in accordance with the Grant Agreement.
11. The amount paid by the Grantee to the Consultant for the provision of services to help implement the Grant project to date is [**4,646.00**]
12. I have attached the tax invoice provided to the Grantee by the Consultant for the provision of services for the Grant project to date.
13. I have attached a receipt from the Consultant confirming that the amount referred to in 3 above has been paid in full by the Grantee. **Attached in Annex No. 2 (second page), the receipt of accepted the second transfer by UNLIREC.**
14. All the information I have provided to the ATT Secretariat (including the contents of this declaration) is complete, true and correct.
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Signed  Date **Jul/10/2018**

Director of Defense Policy/ Colonel

Please submit this report and signed declaration to ATT Secretariat along with;

- A copy of the tax invoice issued to you by the Consultant for the provision of services
- Proof the Consultant was paid. This could be a receipt issued to you by the Consultant or a bank statement.

ATT VTF Expenditure Template – Final Report (El Salvador)



Name of Grant Recipient:
El Salvador
MINISTRY OF NATIONAL DEFENSE
Department of Army
Posting Date: 10/07/2018

INSTRUCTIONS
1) Complete all pink fields only.
2) Print, sign, scan and email expenditure report to grantfund@llucarmmsta.adf.mil.us along with the electronic version.
3) All original receipts should be chronologically numbered according to the reference number in this report. Copies of all receipts should be emailed with the expenditure report. Original receipts must also be sent to the ATT Secretariat.

Project No.: ATT VTF 60017 0051V
Reporting Period: 10/09/2017-31/05/2018
Local Currency Code: SVC
Printed by: [Name]
Report Date: 10/07/2018
Balance of funds received: 77,664.80
Balance of funds available: 9,708.10
Balance of funds available (USD): 87,372.90

Vendor Code [El Salvador]

<http://www.com.com>
Click above first cell for exchange rate file

Accounting Details			Group Details			Total Budget		Actual spend to date**		Balance of funds received	
Posting Date	GL Code	Project Code	Description 1	Description 2 - Reference Number	Rate	USD	USD	USD	USD	USD	USD
10/07/2018	31100	602017	1	Legal assistance workshops: 1) Elaboration of the special law implementing ATT and 2) Constitution and operation of the National Authority.							87,372.90
10/07/2018	31100	602017	2	Technical workshops on the development of the national checklist for the implementation of the ATT							87,372.90
10/07/2018	31100	602017	3	Technical workshop on identification of parts, components and munitions under ATT							87,372.90
10/07/2018	31200	602017	4	Consultants (UNLIREC): 2 Legal Assistance Workshops (3 days)		8,000	7,070	1	7,069.67	980.33	80,302.23
10/07/2018	31200	602017	5	2. National list preparation Workshops (3 days)		5,600	5,369	1	5,369.16	230.84	74,934.07
10/07/2018	31200	602017	6	2. Identification of parts, components and munition Technical workshops (3 days)		3,600	3,802	1	3,802.16	-202.16	71,131.91
10/07/2018	34250	602017	7	Travel expenses (UNLIREC): Legal Assistance Workshops (November 2017)		9,900	3,005	1	3,004.68	895.32	68,127.23

10/07/2018	34250	602017	8	National list Workshops (April 2018)	<p>AMEX - Airticket Lima/San Salvador/Lima in economy class for Rodolfo Gamboa from 10 to 13 April 2018: \$919.48</p> <p>AMEX - Airticket Lima/San Salvador/Lima in economy class for Karina Hinojosa from 10 to 13 April 2018: \$919.48</p> <p>Rodolfo Gamboa: 3 daily subsistence allowance in El Salvador (\$250 X 1 + \$250 X 2 X 88% + 188 = \$879)</p> <p>Karina Hinojosa: 3 daily subsistence allowance in El Salvador (\$250 X 1 + \$250 X 2 X 88% + 188 = \$879)</p> <p>NIUEVO MUNDO - Airticket Lima/San Salvador/Lima in economy class for Rodolfo Gamboa from 22 to 25 January 2018: \$768.46</p> <p>NIUEVO MUNDO - Airticket Lima/San Salvador/Lima in economy class for Karina Hinojosa from 22 to 25 January 2018: \$768.46</p> <p>Rodolfo Gamboa: 3 daily subsistence allowance in El Salvador (\$250 X 1 + \$250 X 2 X 88% + 188 = \$879)</p> <p>Karina Hinojosa: 3 daily subsistence allowance in El Salvador (\$250 X 1 + \$250 X 2 X 88% + 188 = \$879)</p> <p>UNDP Peric UNDP payment process fee (2 payments X \$53 = \$106.99)</p> <p>(Attached No. 12: PRODIMA, Machine for Mark Weapons)</p>	3,570	3,638	1	3,638.04	-338.04	64,289.19
10/07/2018	34250	602017	9	Identification of parts, components and munitions Technical workshop (January 2018)	<p>Trofee ProMarker User System Acquisition (Price includes TAX)</p>	58,759	56,055	0	56,054.88	2703.12	4,835.13
10/07/2018	33230	602017	11	Operating costs: Legal assistance workshops (Impressions and other materials)	<p>Supplies (Attached No. 1: Different suppliers invoices) Invoice No. 38954, 38952 / 38955 Date 27/11/2017 Invoice No. 0257 Date 11/1/2017 Invoice No. 0304 Date 27/11/2017 Invoice No. 0139 Date 15/11/2017 Invoice No. 0140 Date 15/11/2017</p>	600	559	0	558.94	41.16	4,276.29
10/07/2018	33230	602017	12	National list preparation (Impressions and other materials)	<p>Supplies (Attached No. 9: Different suppliers invoices) Invoice No. 05440 Date 09/04/2018 (\$15.22) Invoice No. 0551 Date 12/04/2018 (\$15.82) Invoice No. 0554 Date 10/04/2018 (\$23.90) Invoice No. 0540 Date 09/04/2018 (\$31.64)</p>	600	111	0	110.56	489.44	4,165.73
10/07/2018	33230	602017	13	Identification of parts, components and munitions Technical workshop (Impressions and other materials)	<p>Supplies (Attached No. 6: Different suppliers invoices) Invoice No. 248290 Date 16/01/2018 (\$15.00) Invoice No. 0084 Date 16/01/2018 (\$74.25) Invoice No. 0112 Date 21/01/2018 (\$14.69)</p>	1,060	104	0	103.94	896.06	4,061.79
10/07/2018	32140	602017	14	Conferences and workshops services (audio-visual equipment, and others)	<p>Bakery service Invoice No. 00144 Date 27/11/2017 (Attached No. 10: Circulo Militar invoice).</p> <p>Bakery service Invoice No. 13355 Date 13/04/2018 (Attached No. 7: Circulo Militar invoice).</p> <p>Bakery service Invoice No. 06639 Date 09/07/2018 (Attached No. 3: Circulo Militar invoice).</p>	3,000		0	1,400.00	1,650.00	2,661.79
10/07/2018	34580	602017	15	Indirect support costs (5%)		4,623	1,324		1,117.90		729.89
						97,041	84,635		97,567.15		8,200.99



Nota:
 Listing certified by Tonel, Velaz Casco Roque, Chief of Multilateral Organizations Department
 Hembra Y Inula

[Signature]
 HEAD: ATT SECRETARIAT
 02 AUGUST 2018

EL SALVADOR

General Details		Total	Actual	Balance	Balance	% of	UNLIREC expenses	
Ref.	Description	budget	spend	of	of funds	over-	Estimated	Actual
N°		USD	USD	budget	received	or		
				available		under-		
				USD	USD	spend		
	Direct Costs							
	Staff							
	Legal assistance workshops: 1) Elaboration of the special law implementing ATT and 2) Constitution and operation of the							
1	National Authority.	0.00	0.00					
2	Technical workshops on the development of the national checklist for the implementation of the ATT	0.00	0.00					
3	Technical workshop on identification of parts, components and munitions under ATT	0.00	0.00					
	Consultants(UNLIREC)							
4	2 Legal Assistance Workshops (3 days)	8'000.00	7'069.67	930.33	80'303.23	11.6%		
5	2 National list preparation Workshops (3 days)	5'600.00	5'369.16	230.84	74'934.07	4.1%		
6	2 Identification of parts, components and munitions Technical workshops (3 days)	3'600.00	3'802.16	-202.16	71'131.91	-5.6%		
	Travel expenses (UNLIREC)							
7	Legal Assistance Workshops	3'900.00	3'004.68	895.32	68'127.23	23.0%		
8	National list Workshops	3'500.00	3'838.04	-338.04	64'289.19	-9.7%		
9	Identification of parts, components and munitions Technical workshop	3'900.00	3'399.18	500.82	60'890.01	12.8%		
	Equipment costs							
10	Trotec ProMarker Laser System Acquisition (Price includes TAX)	58'758.00	56'054.88	2'703.12	4'835.13	4.6%	28'500.00	26'482.89
	Operating costs							
11	Legal assistance workshops (Impressions and other materials)	600.00	558.85	41.15	4'276.28	6.9%		

12	National list preparation (impressions and other materials) Identification of parts, components and munitions Technical	600.00	110.56	489.44	4'165.72	81.6%
13	workshop (impressions and other materials) Conferences and workshops services (audio-visual equipment, and	1'000.00	103.94	896.06	4'061.78	89.6%
14	others)	3'000.00	3'331.90	-331.90	729.88	11.1%
	TOTAL (ESTIMATED) DIRECT COSTS	92'458.00		5'814.98		
	TOTAL (ACTUAL) DIRECT COSTS		86'643.02			
	Indirect support costs					
15	Indirect support costs	4'623.00				
	TOTAL INDIRECT SUPPORT COSTS (based on estimated Direct Costs)	4'623.00				
	TOTAL INDIRECT SUPPORT COSTS (based on actual Direct Costs)		4'332.15			
	TOTAL BUDGET (GRANT AGREEMENT)	97'081.00				
	TOTAL BUDGET (ADJUSTED)		90'975.17			
	Total Adjustment (under-spend)		5'814.98			
	Amount of 1st installment	77'664.80				
	Amount of 2nd installment	9'708.10				
	Amount of 3rd installment	9'708.10				
	Balance owing		3'602.27			