22 March 2019
Issued by the Working Group on Transparency and Reporting

Original: **English**

**WORKING GROUP ON TRANSPARENCY AND REPORTING
DRAFT ANNOTATED AGENDA FOR MEETING OF 04 APRIL 2019**

Annexes:
proposal to update the 'FAQ'- type guidance document on the annual reporting obligation and
draft proposed mandate for the WGTR for the period September 2019-August 2020

Opening and adoption of the draft annotated agenda

Under this agenda item, WGTR participants will have the opportunity to share remarks about the draft co-chair report of the 31 January WGTR meeting and this draft annotated agenda, and to propose additional agenda items under AOB.

1.   State of play of compliance with reporting obligations

Under this agenda item, the ATT Secretariat will give a new overview of the reporting status, thereby focusing on the progress that has been made in comparison to the previous status update.

2.   Challenges concerning reporting

Under this agenda item, the co-chairs will first urge States Parties that have not yet (completely) complied with their reporting obligations to share the obstacles that have kept them from reporting.

Further, the co-chairs and the ATT Secretariat will update WGTR participants on the initiative for States Parties offering basic support to other States Parties on reporting.

*Concerning the "**Outreach strategy on reporting**", States Parties, civil society and regional organizations will be asked to brief WGTR participants about any informative sessions or promotion events on reporting that they have held in the past year, and about any other initiative focused on enhancing compliance with the reporting duties, such as the implementation of VTF projects. The President, members of the Bureau, and the ATT Secretariat will also be asked to brief WGTR participants on any initiative, outreach or information-sharing they have undertaken.*

*Concerning the **review of the reporting templates**, the co-chairs will invite WGTR participants again to share their suggestions on how the templates could substantively better support efficient, effective and transparent reporting. Participants should take into account that a comprehensive discussion on the templates shall only take place after States Parties have had an opportunity to use and submit their reports via the new online reporting tool.*

*Concerning the **role of industry in supporting States Parties to comply with their reporting obligations**, representatives from industry and civil society will have a panel discussion on this topic, followed by a discussion [to be confirmed].*

*Concerning the document **'National-level Measures to Facilitate Compliance with International Reporting Obligations & Commitments'**, recommended for consideration at CSP3, participants will have the opportunity to have a first exchange of views on the desirability of further developing the document.*

3.   Substantive reporting and transparency issues

*Concerning the **classification of conventional arms within the Harmonized System on the customs classification of goods**, work is ongoing. The co-chairs and the ATT Secretariat will come back to this at the CSP itself.*

4.   Organizational means for information exchange

*Concerning the **informal meeting among interested States Parties and signatory States to discuss concrete cases of detected or suspected diversion**, the co-chairs will invite participants to share their views on the set-up of the informal meeting.*

5.   Harnessing information generated by mandatory reporting

Under this agenda item, no specific topics will be discussed during this meeting.

6.   IT platform: reporting and transparency functionalities

Under this agenda item, the ATT Secretariat will present on the following topics concerning the ATT website:

•   *the progress in registration for access to the restricted area;*

•   *the information exchange platform;*

•   *the online reporting tool, including instructions on it use that will be included in the 'FAQ'-type guidance document on the annual reporting obligation; and*

•   *the possible options for the provision of a livestream or video recording of meetings of the CSP and/or its subsidiary bodies in terms of substance and budget.*

Subsequently the co-chairs will invite WGTR participants to share their views on the online reporting tool and on the desirability and feasibility of the livestream or recording options.

As to the instructions on the use of the online reporting tool, the co-chairs will submit the proposal of the ATT Secretariat to update the 'FAQ'- type guidance document on the annual reporting obligation for the consideration of the WGTR (annex A).

7.   WGTR mandate in the period between CSP5 and CSP6

Under this agenda item, the co-chairs will present a draft proposal for the mandate of the WGTR in the period between CSP5 and CSP6 (annex B).

AOB

ANNEX B. PROPOSED MANDATE FOR THE WGTR FOR THE PERIOD SEPTEMBER 2019-AUGUST 2020
[*to be annexed to the WGTR Co-chairs' Report to CSP5*]

In accordance with its Terms of Reference and Rule 42(2) of the ATT Rules of Procedure, the Working Group would like to propose for consideration by CSP4, the following tasks for further work in the period between CSP5 and CSP6:

1. The WGTR shall continue to conduct exchanges concerning the fulfilment of the reporting obligations in article 13 of the ATT and the broader issue of transparency in the international trade in conventional arms. In its meetings, the WGTR shall, as a minimum, deal with the following standing agenda items and the recurrent and specific tasks outlined below:

> *a. State of play of compliance with reporting obligations;*
> *b. Challenges concerning reporting;*
> *c. Substantive reporting and transparency issues;*
> *d. Organizational means for information exchange;*
> *e. Harnessing information generated by mandatory reporting;*
> *f. IT platform: reporting and transparency functionalities;*
> *g. WGTR mandate in the period between CSP5 and CSP6.*

2. As regard to the **state of play of compliance with reporting obligations**, at every meeting the WGTR will review the status of reporting, thereby focusing on the progress that has been made in comparison to the previous status updates.

3. As regard to **challenges concerning reporting**, the WGTR will as a minimum:

> *a. give participants the opportunity to discuss challenges to submitting timely and accurate initial and annual reports and to discuss means to support States Parties in addressing those challenges, with a view to providing recommendations to CSP6;*
> *b. give participants the opportunity to discuss submitted proposals for alterations or additional questions and answers for the 'FAQ'-type guidance document on the annual reporting obligation;*
> *c. discuss initiatives taken to implement the document entitled "Outreach strategy on reporting", adopted at CSP4;*
> *d. discuss the further development of the document 'National-level Measures to Facilitate Compliance with International Reporting Obligations & Commitments'.*

4. As regard to **substantive reporting and transparency issues**, the WGTR will as a minimum:

> *a. give participants the opportunity to raise and discuss substantive issues about the reporting obligations that could benefit from consideration by the WGTR;*
> *b. monitor and coordinate further work on the project to facilitate the identification of the conventional arms in Article 2 (1) of the Treaty in the "Harmonized System" (HS) of the World Customs Organisation (WCO);*
> *c. discuss the issue of the comparability of data in annual reports.*

5. As regard to **<u>organizational means for information exchange</u>**, the WGTR will as a minimum:

a. give participants the opportunity to discuss structured mechanisms, processes or formats facilitating the information exchanges that are required or encouraged by the Treaty, both on the policy level, as well as on the operational level;
b. follow-up of implementation of the three-tier approach to sharing information on diversion, in particular the informal meeting among interested States Parties and signatory States to discuss concrete cases of detected or suspected diversion.

6. As regard to **<u>harnessing information generated by mandatory reporting</u>**, the WGTR will give participants the opportunity to present or propose projects to harness information from the initial and annual reports in a manner that allows follow-up on these reports, taking into account the functionalities on the IT platform that are under development.

7. As regard to the **<u>reporting and transparency functionalities of the IT platform</u>**, the WGTR will as a minimum:
a. monitor and assess the use of the online reporting tool and the information exchange platform on the ATT website, and assist the ATT Secretariat in the development of a functionality that makes the information generated, especially through the annual reports, available in a searchable database that allows for queries and extracting data. This will be done through the informal consultative group of WGTR participants established at CSP5, that will report back to the WGTR in order to feed its work;
b. give participants the opportunity to propose future changes and improvements to the IT platform to enhance transparency and facilitate the implementation of the reporting and information exchange obligations of the Treaty.

8. As regard to the **<u>WGTR mandate in the period between CSP5 and CSP6</u>**, the WGTR will prepare a proposal for consideration by CSP5, which will include as a minimum the standing agenda items and the recurrent tasks outlined above.

***