

Arms Trade Treaty  
**Tenth Conference of States Parties**  
Geneva, 19 – 23 August 2024

**REPORT ON THE WORK OF THE ATT VOLUNTARY TRUST FUND (VTF)  
FOR THE PERIOD AUGUST 2023 TO AUGUST 2024**

**INTRODUCTION**

1. This report is submitted by the ATT Secretariat, as administrator of the Voluntary Trust Fund (VTF), in accordance with its reporting obligations to the Conference of States Parties contemplated in the VTF Terms of Reference and VTF Administrative Rules.
2. The report is subdivided into four parts:
  - a. Background.
  - b. Status of VTF operations.
  - c. Update on implementation of CSP9 decisions.
  - d. Recommendations.

**I. BACKGROUND**

3. The VTF is a flexible multi-donor Fund that supports States in implementing their obligations under the Treaty through the deposit and disbursement of funds. The VTF was established by the Second Conference of States Parties (CSP2) pursuant to Article 16(3) of the Treaty to operate under its Terms of Reference (ATT/VTF18/2018/SEC/251/ToR.Cons.Dr.v1.Rev1) as amended at the Fourth Conference of States Parties (CSP4).
4. In accordance with Article 6 of the VTF Terms of Reference, the Eighth Conference of States Parties appointed *Australia, Canada, Finland, Germany, Japan, Latvia, Madagascar, Mexico, Panama, Republic of Korea, South Africa, Switzerland and the United Kingdom* to serve on the Selection Committee of the VTF for two years, from the Eighth Conference of States Parties to the Tenth Conference of States Parties (eligible for reappointment). In May 2023, Guatemala was also appointed by States Parties to serve on the VTF Selection Committee via silence procedure.
5. On 21 November 2022, the VTF Selection Committee selected Ambassador Aidan LIDDLE of the United Kingdom to serve as Chairperson until the Tenth Conference of States Parties.
6. Article 5 of the VTF Terms of Reference provides that the VTF shall be administered by the ATT Secretariat with the support of the VTF Selection Committee. The VTF Administrative Rules

(founded on the VTF Terms of Reference) set out, in detail, the distinct yet complementary roles of the ATT Secretariat and the VTF Selection Committee with respect to the functioning of the VTF.

## II. STATUS OF VTF OPERATIONS

### FINANCIAL STATUS OF THE VTF

7. Since its first call for contributions in 2016, the VTF has received USD 12.5 million in voluntary financial contributions from 29 States. This includes contributions specifically earmarked for VTF outreach activities from Germany, New Zealand, the Republic of Korea and the United Kingdom. The breakdown of received financial contributions is reflected in Annex A.

8. The financial contributions received provided a sound basis for eight VTF project cycles between 2017 and 2024. Of the total contributions received, USD 7.1 million has been spent, USD 2.5 million allocated to VTF liabilities including ongoing projects, and USD 2.9 million is uncommitted (available) at this stage.

### STATUS OF VTF PROJECTS

9. Since the VTF was established by CSP2 in 2016, eight calls for project proposals have been issued from the first VTF cycle (2017) to the eighth VTF cycle (2024). The eighth 'Call for Project Proposals' was issued on 27 September 2023, inviting States to submit applications during the period 27 September 2023 – 19 January 2024.

#### *First (2017), Second (2018) and Third (2019) VTF cycles*

10. The VTF funded 15 projects in the first (2017) VTF cycle, nine (9) projects in the second (2018) VTF cycle and 19 projects in the third (2019) VTF cycle. The overview and final reports for the three cycles are available on the VTF page of the ATT website<sup>1</sup>.

#### *Fourth VTF Cycle (2020)*

11. The VTF Selection Committee approved ten (10) projects for funding in the fourth (2020) VTF cycle. Following the withdrawal of one application, nine (9) VTF projects were implemented upon the conclusion of Grant Agreements with the ATT Secretariat. Annex B provides an overview of 2020 VTF projects approved and Annex C provides an overview of the status of 2020 VTF projects.

#### *Fifth VTF Cycle (2021)*

12. The VTF Selection Committee approved thirteen (13) projects for funding in the fifth (2021) VTF cycle. Following the withdrawal of two (2) applications, 11 VTF projects were implemented upon the conclusion of Grant Agreements with the ATT Secretariat. Annex D provides an overview of 2021 VTF projects approved and Annex E provides an overview of the status of 2021 VTF projects.

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<sup>1</sup> <https://www.thearmstradetreaty.org/voluntary.html?tab=tab2>

***Sixth VTF Cycle (2022)***

13. The VTF Selection Committee approved six (6) projects for funding in the sixth (2022) VTF cycle. Following the withdrawal of one (1) application, four (4) VTF projects were implemented upon the conclusion of Grant Agreements with the ATT Secretariat. Annex F provides an overview of 2022 VTF projects approved and Annex G provides an overview of the status of 2022 VTF projects.

***Seventh VTF Cycle (2023)***

14. The VTF Selection Committee approved fourteen (14) projects for funding in the seventh (2023) VTF cycle. Thirteen (13) VTF projects were implemented upon the conclusion of Grant Agreements with the ATT Secretariat. Annex H provides an overview of 2023 VTF projects approved and Annex I provides an overview of the status of 2023 VTF projects.

***Eighth VTF cycle (2024)***

15. By the closing date of 19 January 2024, the ATT Secretariat received twenty seven (27) applications from twenty five (25) States. In accordance with its mandate, the ATT Secretariat conducted the pre-screening exercise on the received applications and, in line with previous practice, gave concerned States the opportunity to address certain administrative errors in their project proposals.

16. The VTF Selection Committee met on 29 May 2024 to review and consider the shortlisted applications by applying the VTF criteria set out in the VTF Terms of Reference with a view to assessing the merits and quality of project proposals. The VTF Selection Committee provisionally approved eighteen (18) projects for VTF funding, subject to further clarification of certain aspects and negotiation of grant agreements. Additionally, one (1) applicant state was given an opportunity to clarify certain aspects of the project proposals for reconsideration by the VTF Selection Committee.

17. The eighteen (18) provisionally approved projects involve seventeen (17) States. The overview of the provisionally approved projects for the 2024 VTF cycle is provided in Annex J. The ATT Secretariat communicated the VTF Selection Committee's decisions to all applicants, notifying them of the outcomes of their applications to the fund and is in the process of negotiating Grant Agreements with the successful applicants. It is expected that the 2024 VTF projects will commence activities in August 2024.

***Ninth VTF cycle (2025)***

18. It is foreseen that the ninth VTF project cycle (2025) will be structured along similar lines to the 2024 VTF project cycle, starting the call for proposals from September 2024 with a deadline of mid-January 2025 for the benefit of potential applicants from across different regions.

**ACTIVITIES OF THE VTF SELECTION COMMITTEE BETWEEN CSP9 AND CSP10**

19. Following past practice, the VTF Selection Committee agreed on the document 'VTF Tasks and Timeline 2023/2024' to guide its work for the CSP10 cycle in terms of expected deliverables and timing of such deliverables (see Annex K).

### III. UPDATE ON IMPLEMENTATION OF CSP9 DECISIONS

20. At its plenary session from 20-24 August 2023 the Conference of States Parties took the following decisions:

- a. Welcomed the Report on the work of the VTF August 2022 – August 2023.
- b. Welcomed the fact that the VTF will undertake further outreach activities in the period leading up to CSP10.
- c. Noted of the VTF evaluation report for the 2017 completed projects and welcomed the fact that the VTF will continue with the evaluation of completed projects and report to CSP10.
- d. Welcomed the extension of the duration of calls for proposals, starting from September - mid-January of each cycle.

21. The status of implementation of the decision above is described below.

#### **VTF Outreach Activities**

22. In line with the VTF Outreach Strategy, a number of outreach activities were undertaken in the reporting period. The VTF Chairperson and the ATT Secretariat co-hosted a side event in October 2023 at the United Nations Headquarters on the margins of the First Committee, inviting speakers from a beneficiary state and a civil society organization. The two also co-hosted two online VTF practical workshops in Geneva in November and December 2023, which accommodated diversified participants from various time zones. In addition, the UK hosted a side event during the Preparatory Committee of the United Nations Programme of Action on Small Arms and Light Weapons (UNPoA).

23. In November 2023, the ATT Secretariat facilitated a regional workshop in Côte d'Ivoire and delivered various presentations including on the VTF. In February 2024, the ATT Secretariat hosted a briefing day that covered the VTF topic for ATT sponsored delegates to the CSP10 Working Group Meetings, and also promoted the VTF via video to participants of a VTF funded workshop held in Belize. In March 2024, the VTF Chairperson and the ATT Secretariat participated in the ATT virtual course organised by the Geneva Centre for Security Policy (GCSP) involving representatives of various states. In May 2024, the VTF Chairperson and ATT Secretariat co-organized an online side event highlighting the value of the VTF in supporting national ATT implementation plans.

24. In addition to side events, VTF outreach activities were also conducted in other contexts. This includes training workshops organized by partners, regional ATT meetings as well as large scale projects funded by the VTF like the recent Africa wide workshop on Treaty universalization and implementation organized in Monrovia by Liberia. The increasing number of projects proposals being received for adjudication by the Selection Committee could be attributed to the ongoing VTF outreach activities.

### **Evaluation of VTF Projects**

25. The VTF Terms of Reference (paragraph 13) stipulate that the ATT Secretariat will evaluate reports on the implementation of VTF projects by beneficiaries. In this regard, the ATT Secretariat has prepared a second evaluation report focusing on multiple VTF projects implemented by states. (see Annex L).

### **Extension of the duration of calls for proposals**

26. In order to afford more time for states to prepare and submit the VTF Grant Application documents, the ATT Secretariat issued the VTF call for proposals to the 2024 cycle from on 23 September 2023 with a closing set for 19 January 2024. This enabled states to have four-month preparation instead of three.

## **IV. RECOMMENDATIONS**

27. With due regard to the lessons learnt during the 2017 – 2024 VTF project cycles, the following recommendations are presented for consideration and endorsement by the Tenth Conference of States Parties:

- a. That CSP10 takes note of the Report on the work of the VTF August 2023 – August 2024.
- b. That CSP10 welcomes that the VTF will undertake further outreach activities in the period leading up to CSP11.
- c. That CSP10 takes note of the VTF evaluation report for multiple VTF projects implemented by states and welcomes that the VTF will continue with evaluation of VTF projects and report to CSP11.

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**ANNEX A. FINANCIAL STATUS OF THE VTF (As of 16 July 2024)**

<b>Contributions to VTF Projects</b>			
1	Argentina	USD	10,000.00
2	Australia	USD	601,854.11
3	Austria	USD	4,470.17
4	Bulgaria	USD	2,039.77
5	Canada	USD	11,000.00
6	Costa Rica	USD	6,000.00
7	Cyprus	USD	33,279.30
8	Czech Republic	USD	90,220.43
9	Finland	USD	2,448,232.86
10	Government of Flanders, Belgium	USD	110,867.78
11	France	USD	347,190.09
12	Germany	USD	2,325,895.20
13	Ireland	USD	149,707.88
14	Japan	USD	3,000,000.00
15	Madagascar	USD	4,991.00
16	Mexico	USD	75,000.00
17	Montenegro	USD	1,616.78
18	Netherlands	USD	452,889.00
19	New Zealand	USD	185,559.50
20	Norway	USD	288,821.70
21	Philippines	USD	5,000.00
22	Poland	USD	10,000.00
23	Portugal	USD	35,845.72
24	Republic of Korea	USD	360,000.00
25	Slovenia	USD	43,017.12
26	Spain	USD	185,920.84
27	Sweden	USD	563,955.87
28	Switzerland	USD	642,990.32
29	United Kingdom	USD	389,180.00
	<b>Total for VTF projects</b>	<b>USD</b>	<b>12,385,545.44</b>
<b>Contributions to VTF Outreach Programme</b>			
1	Germany	USD	68,069.21
2	New Zealand	USD	29,781.00
3	Republic of Korea	USD	30,000.00
4	United Kingdom	USD	31,747.44
	<b>Total for VTF Outreach Programme</b>	<b>USD</b>	<b>159,597.65</b>
<b>TOTAL CONTRIBUTIONS TO VTF RECEIVED</b>		<b>USD</b>	<b>12,545,143.09</b>

**ANNEX B. 4<sup>TH</sup> VOLUNTARY TRUST FUND CYCLE (2020): OVERVIEW OF PROJECTS APPROVED FOR ATT FUNDING**

No.	Applicant State	Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)	Total Budget Spent (USD)
1	Botswana <sup>2</sup>	Small Arms Survey	Building Capacity to fulfil the Republic of Botswana's ATT reporting obligations	Capacity building effort to enable ATT reporting in terms of establishment of reporting processes and procedures as well as submission of initial report and annual reports	[79'702.00]	
2	Côte d'Ivoire	None	Interconnection of end-use weapons sites	Development of an integrated data management IT system for stockpile management and training of stockpile managers	98'119.00	90'918.00
3	Ghana	Lex Emporium Attorney @Law	Adoption of a comprehensive harmonised legislation for the implementation of the ATT	Development and adoption of a comprehensive and harmonised single legislation for the implementation of the ATT in Ghana	99'826.00	90'204.60
4	Kenya	Regional Centre on Small Arms (RECSA)	ATT awareness raising and creation of momentum for Kenya's accession to the ATT	National workshop to raise awareness about the ATT and its implications with a view to building momentum for Kenya's accession to the ATT	95'604.00	89'944.00

<sup>2</sup> The VTF application submitted by Botswana was approved by the VTF Selection Committee, however, Botswana's application was withdrawn prior to the finalisation of a Grant Agreement with the ATT Secretariat.

5	Madagascar <sup>3</sup>	Controls Arms (Non-Violence International)	ATT Academy in the Indian Ocean Region	Regional workshop to build capacity of five Indian Ocean States (IOC) to implement the ATT	193'015.00	8'895.83
6	Nigeria	IANSA Women Network Nigeria	Strengthening inter-agency cooperation for the Treaty implementation and reporting	National capacity building workshop to strengthen inter-agency cooperation to facilitate the implementation of the ATT and to enhance national capacity to meet its reporting obligations	95'102.00	90'145.00
7	Sierra Leone	None	Capacity building workshops for effective ATT implementation at regional level	Capacity building workshops on ATT implementation conducted at regional level	55'977.00	61'028.00
8	South Sudan	Regional Centre on Small Arms (RECSA)	Support towards operationalisation of the National ATT Accession and Implementation Strategy for the Republic of South Sudan	Implementation of South Sudan's national ATT implementation strategy by undertaking the following activities: 1) review of national legislation, 2) development and adoption of national control list, 3) capacity building workshop for customs officials and, 4) capacity building workshop for parliamentarians on ATT domestication	99'524.00	
9	Togo	None	Training workshops on the safe and secure management of weapons and ammunition stockpiles	Workshops to train defence and security officers in the safe and secure management of weapons and	53'716.00	49'878.39

<sup>3</sup> The VTF project implemented by Madagascar was discontinued.



				ammunition stocks throughout the country to prevent diversion		
10	Zambia	Small Arms Survey	Building capacity to draft Zambia's Conventional Arms Control Act to implement the ATT	Building of Zambia's legislative drafting capacity and preparation of Zambia's legislation to implement the ATT	93'197.00	82'533.00
	<b>Total</b>				<b>884'080.00</b>	<b>563'546.82</b>

**ANNEX C. STATUS OF IMPLEMENTATION OF 2020 VTF PROJECTS (As of 16 July 2024)**

No.	State	Grant Agreement signed	1 <sup>st</sup> instalment transferred	Interim Report due	2 <sup>nd</sup> instalment transferred	Final Report due	Final instalment transferred	Status
1	Botswana	<b>WITHDRAWN</b>						
2	Côte d'Ivoire	✓	✓	✓	✓	✓	✓	Completed
3	Ghana	✓	✓	NA	NA	✓	✓	Completed
4	Kenya	✓	✓	✓	✓	✓	✓	Completed
5	Madagascar	✓	✓	✓	NA	NA	NA	Discontinued
6	Nigeria	✓	✓	✓	✓	✓	✓	Completed
7	Sierra Leone	✓	✓	NA	NA	✓	✓	Completed
8	South Sudan	✓	✓	✓	✓			Underway
9	Togo	✓	✓	NA	NA	✓	✓	Completed
10	Zambia	✓	✓	✓	✓	✓	NA	Completed

**ANNEX D. 5<sup>TH</sup> VOLUNTARY TRUST FUND CYCLE (2021): OVERVIEW OF PROJECTS APPROVED FOR ATT FUNDING**

No.	Applicant State	Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)	Total Budget Spent (USD)
1	Chile	Small Arms Survey	Build capacity to prevent, detect, and respond to the diversion of conventional weapons in line with Article 11 of the ATT in Chile and Colombia	Development and implementation of training for Chilean and Colombian security forces on preventing and detecting diversion of conventional arms	170'034.00	173'601.00
2	Costa Rica	Instituto Tecnológico de Costa Rica (Technological of Costa Rica), Fundación Tecnológica de Costa Rica (FUNDATEC)	Digital platform of the Costa Rican control system of conventional arms transfers	Development of a digital platform to enable inter-ministerial coordination on national control, licencing, risk analysis and integration of existing databases	100'000.00	99'627.20
3	Democratic Republic of Congo (DRC)	Group for Research and Information on Peace and Security (Groupe de recherche et d'information sur la paix et la sécurité) (GRIP)	Develop and validate a roadmap for DRC accession to the ATT	Organisation of seminars for stakeholders involved in the DRC's accession to the ATT, and drafting of the DRC's roadmap to ATT accession	93'433.00	96'451.26
4	El Salvador	None	Purchase of a basic military version MTI Projectile Recovery Chamber of 24 inches	Procurement of equipment to be used in recovering projectiles for forensic analysis	98'500.00	98'098.65

5	Fiji	Centre for Armed Violence Reduction (CAVR)	Final steps to ATT accession and full compliance	Facilitation of ATT accession process, review of the Arms and Ammunition Acts and customisation of record keeping system	92'491.00	88'936.00
6	Lebanon <sup>4</sup>	None	Lebanese ISF Territorial Intervention Units Firearms Control	Security upgrade of stockpile of warehouses to ensure safe and secure weapons and ammunition	[70'675.00]	
7	Liberia	Centre for Armed Violence Reduction (CAVR)	Filling arms control record keeping gaps in Liberia	Installation of a record keeping and reporting system (Arms Tracker) as well as training of government officials on the use of the record keeping system	98'654.00	96'531.00
8	Mali	Group for Research and Information on Peace and Security (Groupe de recherche et d'information sur la paix et la sécurité) (GRIP)	Building knowledge and capacities to strengthen the implementation of the ATT Roadmap	Implementation of the national ATT roadmap by conducting legal analysis and organising seminars for government officials, parliamentarians, private sector and civil society	69'250.00	68'745.00

<sup>4</sup> The VTF application submitted by Lebanon was approved by the VTF Selection Committee, however, Lebanon's application was withdrawn prior to the finalisation of a Grant Agreement with the ATT Secretariat.

9	Mexico <sup>5</sup>	None	Fortalecimiento del Registro y Controles de los Flujos de Armas en Mexico	Establishment of a system to complement the National Arms Database to control and record arms transfers in Mexico. And to facilitate coordination and information sharing between national and federal authorities in Mexico as well as with Central and South American States	[95'200.00]	
10	Namibia	Control Arms (Nonviolence International)	ATT Implementation in Namibia: Toward a Comprehensive National Control System	Review of legislation governing arms transfers; development of National Controls List; preparation of Initial Report; and recommendations for future areas of work	79'709.00	76'920.00
11	Rwanda	Regional Centre on Small Arms (RECSA)	Support towards Operationalization of the ATT ratification for the Republic of Rwanda	Raising awareness and building support for ratification of the ATT	95'829.00	32'823.00
12	Sudan	Regional Centre on Small Arms (RECSA)	Support towards Development of the National Strategy for the Implementation of the Arms Trade Treaty in the Republic of the Sudan	Development of a National Strategy for implementation of the ATT through consultation meetings and validation workshop	99'645.00	

<sup>5</sup> The VTF application submitted by Mexico was approved by the VTF Selection Committee, however, Mexico's application was withdrawn prior to the finalisation of a Grant Agreement with the ATT Secretariat.

13	Trinidad and Tobago		Technical and financial assistance for a capacity building workshop on the ATT and the development of an integrated data management IT system for better stockpile management and reduce loopholes for diversion	Capacity building workshop and development of an integrated data management IT system for stockpile management to facilitate reporting and information sharing	99'296.00	
<b>Total</b>					<b>1'096'841.00</b>	<b>831,733.10</b>

**ANNEX E. STATUS OF IMPLEMENTATION OF 2021 VTF PROJECTS (As of 16 July 2024)**

No	State	Grant Agreement signed	1 <sup>st</sup> instalment transferred	Interim Report due	2 <sup>nd</sup> instalment transferred	Final Report due	Final instalment transferred	Comment	
1.	Chile	✓	✓	✓	✓	✓	✓	Completed	
2.	Costa Rica		✓	✓	✓	✓	✓	Completed	
3.	DRC	✓	✓	✓	✓	✓	✓	Completed	
4.	El Salvador	✓	✓	NA	NA	✓	✓	Completed	
5.	Fiji	✓	✓	✓	✓	✓	✓	Completed	
6.	Lebanon	<b>WITHDRAWN</b>							
7.	Liberia	✓	✓	✓	✓	✓	✓	Completed	
8.	Mali	✓	✓	✓	✓	✓	✓	Completed	
9.	Mexico	<b>WITHDRAWN</b>							
10.	Namibia	✓	✓	✓	✓	✓		Project activities completed	
11.	Rwanda	✓	✓	✓	✓	✓	✓	Completed	
12.	Sudan	✓	✓					Paused due to national situation	
13.	Trinidad and Tobago	✓	✓	✓	✓			Underway	

**ANNEX F. 6<sup>TH</sup> VOLUNTARY TRUST FUND CYCLE (2022): OVERVIEW OF PROJECTS APPROVED FOR ATT FUNDING**

No.	Applicant State	Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)	Total Budget Spent (USD)
1	Argentina <sup>6</sup>	Red Argentina por el Desarme (Argentine Network for Disarmament)	Comprehensive firearms control and voluntary civil disarmament program of the Argentine Republic	Establishment of mobile registry units and mobile disarmament units; Awareness raising seminars on firearms control	[100'000.00]	
2	Burkina Faso	None	Awareness-raising and capacity-building workshops for public administration staff and civil society organisations on the Arms Trade Treaty (ATT) and the law of internalisation in the Center-East Regions (TENKODOGO) and from the Center-West (KOUDOUYOU)	Organisation of two national workshops: 1) aimed at sensitisation and capacity building of Government officials in Centre-East region; 2) awareness-raising and promoting the national law internalising the ATT among civil society organisations, in Centre-West region.	99'713.00	
3	Cote d'Ivoire	None	Strengthening the capacity of ECOWAS States to report under the Arms Trade Treaty	Organisation of three/four - day regional workshop on the reporting obligations for ECOWAS member states	134'707.00	99'233.00

<sup>6</sup> The VTF application submitted by Argentina was approved by the VTF Selection Committee, however, Argentina's application was withdrawn prior to the finalisation of a Grant Agreement with the ATT Secretariat.



4	Gambia	Saferworld	Assessing and preparing The Gambia's ATT implementation compliance	Gap analysis as well as the development of a national assessment report and a national action plan	78'784.00	
5	Madagascar	None	Procurement of weapons destruction equipment	Procurement of two mobile arms grinder machine and two trucks for the destruction of the collected arms	99'300.00	99'300.00
6	Nigeria	IANSAs Women Network	Drafting, validation and adoption of a Comprehensive National Legislation for the Arms Trade Treaty Implementation	Development of a draft comprehensive national legislation for effective implementation of the ATT, and organisation of workshops	99'680.00	102'532.75
<b>Total</b>					<b>512'184</b>	<b>301'065.75</b>

**ANNEX G. STATUS OF IMPLEMENTATION OF 2022 VTF PROJECTS (As of 16 July 2024)**

No	State	Grant Agreement signed	1 <sup>st</sup> instalment transferred	Interim Report due	2 <sup>nd</sup> instalment transferred	Final Report due	Final instalment transferred	Comment
1.	Argentina	<b>WITHDRAWN</b>						
2.	Burkina Faso	✓						Paused due to national situation
3.	Côte d'Ivoire	✓	✓	✓	✓	✓		Project activities completed
4.	The Gambia	✓	✓	✓	✓			Underway
5.	Madagascar	✓	✓	✓	✓	✓	✓	Completed
6.	Nigeria	✓	✓	✓	✓	✓	✓	Completed

**ANNEX H. 7<sup>TH</sup> VOLUNTARY TRUST FUND CYCLE (2023): OVERVIEW OF PROJECTS APPROVED FOR ATT FUNDING**

No	Applicant State	Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)
1	Belize	CARICOM IMPACS and Mines Advisory Group	Workshop enhancing ATT compliance in Belize	Organisation of ATT training workshop for security and defence forces	57'000.00
2	Burundi (1)	Reveil Communautaire d'assistance aux Victimes (RECOVI)	Burundi ATT action plan: capacity-building and national roadmap in support of ATT universalisation and implementation	Organisation of ATT awareness raising workshops, and development of a national action plan for ATT ratification	95'177.00
3	Cambodia	Control Arms	Raising awareness and building support for the Arms Trade Treaty in Cambodia	ATT awareness raising workshop for development of a national action plan to support Cambodia's ratification of the ATT	63'116.00
4	Central African Republic	Centre for Armed Violence Reduction (CAVR)	Meeting ATT standards by filling system gaps	Installation of a record-keeping system (ArmsTracker) and training on data collection, record keeping and reporting system	97'049.00

5	Ethiopia	RECSA	Awareness creation to build capacity for public administration on SALW management and control in Ethiopia	Organisation of national awareness-raising workshops on SALW controls and the development of a roadmap on SALW controls	99'959.00
6	Guinea	None	Strengthening the legislative and regulatory framework on weapons	Harmonisation of national legislation with ATT and other instruments, popularisation of new legislation, and development of a national policy for the control of Small Arms and Light Weapons (SALW)	99'989.00
7	Lesotho	Disarmament and Arms Control	Capacity building of national actors and stakeholders on the implementation of the Arms Trade Treaty (ATT)	Organisation of a capacity building workshop and development and adoption of an ATT implementation roadmap	85'423.00
8	Liberia (1)	United Nations Institute for Disarmament Research (UNIDIR)	The Monrovia workshop to support universalisation and effective implementation of the ATT	Organisation of a regional workshop on ATT universalisation and implementation for African states and regional organisations	199'967.00

9	Madagascar	None	Procurement of additional equipment and materials for the nationwide activation of the weapons destruction and re-marking	Procurement of trucks, field office equipment and tents for onsite destruction of collected small arms	87'260.00
10	Malawi	Control Arms	Supporting ATT universalisation and implementation in Malawi	Organisation of ATT awareness and accession plan workshops	71'039.00
11	Mauritius	None	Training on the marking of firearms in Mauritius	Training of Mauritius Police Force officers on marking firearms	10'500.00
12	Paraguay <sup>7</sup>	United Nations Office on Drugs and Crime (UNODC)	Strengthening Paraguay's arms control system and national capacities to prevent and counter the illicit trade and trafficking in small arms and light weapons	Development of Standard Operating Procedures (SOP) for regulating arms transfers; organisation of a national workshop on detection, investigation and prosecution of arms transfer related crimes; and a regional thematic workshop	[99'978]

<sup>7</sup> The VTF application submitted by Paraguay was approved by the VTF Selection Committee, however, Paraguay's application was withdrawn prior to the finalisation of a Grant Agreement with the ATT Secretariat.

13	Togo (1)	None	Capacity building of Arms Trade Treaty (ATT) enforcement agency personnel on annual reporting obligations	Organisation of a national workshop on reporting for government officials	68'962.00
14	Zambia (1)	Small Arms Survey	Building capacity of the drafting of the secondary legislation necessary for the implementation of the Republic of Zambia's Conventional Arms Control Act	Development of and consultations on secondary national legislation to implement the ATT primary legislation in Zambia	95'701.00
<b>TOTAL</b>					<b>1'131'142.00</b>

**ANNEX I. STATUS OF IMPLEMENTATION OF 2023 VTF PROJECTS (As of 16 July 2024)**

No	State	Grant Agreement signed	1 <sup>st</sup> instalment transferred	Interim Report due	2 <sup>nd</sup> instalment transferred	Final Report due	Final instalment transferred	Comment
1	Belize	✓	✓	✓	✓			
2	Burundi	✓	✓	✓				
3	Cambodia	✓	✓	✓				
4	CAR	✓	✓	✓	✓			
5	Ethiopia	✓	✓	✓	✓			
6	Guinea	✓	✓	✓	✓			
7	Lesotho	✓	✓	✓	✓			
8	Liberia	✓	✓	✓	✓			
9	Madagascar	✓	✓	NA	NA			
10	Malawi	✓	✓					
11	Mauritius	✓	✓	N/A	N/A			
12	Paraguay	<b>WITHDRAWN</b>						
13	Togo	✓	✓	✓	✓			
14	Zambia 1	✓	✓	✓	✓			

**ANNEX J. 8<sup>TH</sup> VOLUNTARY TRUST FUND CYCLE (2024): OVERVIEW OF PROJECTS PROVISIONALLY<sup>8</sup> APPROVED FOR ATT FUNDING**

No	Applicant State	Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)
1	Benin	Small Arms Survey	Building capacity for drafting of primary and secondary legislation for effective implementation of the ATT	Gap analysis and development of primary and secondary legislation for ATT implementation	99,927.00
2	Brazil	Association Para Politicas Publicas (APP)	Tracking challenges in ATT implementation: Diversion prevention and advancing gender mainstreaming in Latin America	Development and consultation on a regional roadmap for implementation of ATT gender considerations	132,145.00
3	Cameroon (2)	Stockholm International Peace Research Institute (SIPRI)	Strengthening Cameroon's annual reporting to the Arms Trade Treaty (ATT)	Development of ATT reporting manual and organisation of a training workshop on ATT reporting	99,835.00
4	Costa Rica	Instituto Tecnológico de Costa Rica (ITCR), Fundación Tecnológica de Costa Rica (FUNDATEC)	Digital platform of the Costa Rican conventional arms transfer control system (TRACAR) as a tool of an Arms Trade Observatory	Installation of additional modules on a digital platform (TRACAR) to enhance connectivity of government databases supporting ATT implementation	100,000.00

<sup>8</sup> The projects are provisionally approved subject to Requests for Clarification and negotiations of Grant Agreements. Additionally one (1) applicant was given an opportunity to clarify or reformulate the project proposal for reconsideration by the VTF Selection Committee.



5	El Salvador	None	Promoting the updating of legislation and procurement of transport and materials for better implementation of the Arms Trade Treaty	Development of legislation, procurement of marking machines and vehicles, and establishment of ballistic fingerprinting data storage	99,914.00
6	Gambia	Saferworld	Implementing The Gambia's ATT National Action Plan	Development of legislative amendments to implement the ATT, development of a national control list, and preparation of ATT Initial and Annual Reports	67,731.00
7	Guinea	None	Strengthening the legislative and regulatory framework on weapons	Development and popularisation of national policy on SALW	77,434.00
8	Kiribati	UNRCPD	Kiribati ATT ratification strategy - supporting Kiribati to undertake gap analysis and prepare national action plan	Gap analysis, organisation of workshops and development of a National Action Plan to join the ATT	82,113.00
9	Lesotho	Disarmament and Arms Control	Review, drafting and validation of a comprehensive national legislation for the Arms Trade Treaty implementation	Gap analysis and development of national legislation for ATT implementation	97,588.00
10	Liberia	CAVR	Filling additional ATT Gaps	Upgrade existing national database (Arms Tracker )and connect additional relevant government agencies to the database to enhance ATT implementation	99,660.00

11	Namibia	Non-Governmenta Organisation Forum (NANGOF)	Legislative drafting: national legislation	Benchmarking and development of national legislation to domesticate ATT	81,707.00
12	Peru	Ammunition Management Advisory Team (AMAT) of the Geneva International Centre for Humanitarian Demining (GICHD)	Improved stockpile security and record-keeping through nationwide installation of IATG-based inventory management system for conventional ammunition	Installation of a national ammunition inventory management system and organisation of training for the Peruvian army	100,000.00
13	Philippines	Non-violence International Inc	Enhancing cooperation and partnership as a pathway for Arms Trade Treaty (ATT) universalisation and capacity building	Development of a cooperation framework to enable the Philippines to share ATT implementation experience and ATT information with neighbouring States (Malaysia and Indonesia)	99,831.00
14	Papua New Guinea	CAVR and UNRCPD	Enabling ATT compliance and membership	ATT awareness raising campaign, gap analysis and development of legislative amendments	97,948.00
15	Sierra Leone (1)	None	Procurement of weapon destruction machines, training of security personnel on weapon destruction and destruction of weapons in Sierra Leone	Procurement of arms destruction machines and organisation of training on arms destruction	22,909.00

16	Sierra Leone (2)	None	Procurement of weapon marking machines for effective weapon control in Sierra Leone	Procurement of arms marking machines and organisation of training on arms marking	42,042.00
17	Tanzania	RECSA	Strengthening capacity for marking and recordkeeping of SALW to prevent, detect diversion in accordance to the international regulation instruments in the United Republic of Tanzania	Procurement of arms marking machines and organisation of training workshops on arms marking and Weapons and Ammunition Management (WAM)	99,845.00
18	Togo	None	Strengthening of safety and security of arms and ammunition depots in Togo	Refurbishment and refitting of insecure and unsafe arms depots, and organisation of training for selected warehouse managers	99,996.00
<b>TOTAL</b>					<b>1,600,625.00</b>

**ANNEX K. VTF TASKS AND TIMELINE 2023/2024****VTF DRAFT TIMELINE: 2023/2024**

This draft timeline reflects major VTF milestones foreseen for the period between CSP9 and CSP10.

	<b>TASK</b>	<b>TIMELINE</b>	<b>RESPONSIBILITY</b>
1.	Call for project proposals	27 September 2023 – 19 January 2024	ATT Secretariat
2.	Call for financial contributions	01 November 2023	ATT Secretariat
3.	Deadline for submission of project proposals	19 January 2024	ATT Secretariat
4.	Pre-screening of project proposals and preparation of shortlist	22 January – 19 April 2024	ATT Secretariat
5.	Circulation of shortlist of project proposals to Selection Committee	26 April 2024	ATT Secretariat
6.	VTF side event	16 May 2024 (during the May ATT meeting)	ATT Secretariat VTF Chairperson
7.	<b>Selection Committee meeting to consider and decide on submitted project proposals</b>	<b>29 May 2024</b>	<b>Selection Committee ATT Secretariat</b>
8.	Notification to applicants on Selection Committee's final decision	14 June 2024	ATT Secretariat
9.	Request for clarification on approved proposals (selected applicants asked to respond to requests for refinement of certain aspects of their proposals as determined by Selection Committee)	28 June – 07 October 2024	ATT Secretariat Grant Recipients
10.	Contracting (Grant Agreement) between VTF and successful Grant Recipients; transfer of grants (1 <sup>st</sup> instalment)	15 July – 30 October 2024	ATT Secretariat Grant Recipients
11.	Finalisation of VTF report to CSP10	05 July 2024	ATT Secretariat

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12.	Selection Committee meeting	15 July 2024	Selection Committee ATT Secretariat
13.	Presentation of VTF report to CSP10	22 August 2024 ( <i>tbc</i> )	ATT Secretariat VTF Chairperson

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**ANNEX L. VTF EVALUATION REPORT FOR PROJECTS BENEFITTED IN MULTIPLE VTF CYCLES**

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16 July 2024

Prepared by: the ATT Secretariat

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**ATT SECRETARIAT: EVALUATION OF MULTIPLE VTF PROJECTS IMPLEMENTED BY STATES****BACKGROUND**

1. Article 13 of the Voluntary Trust Fund (VTF) Terms of Reference determines that the ATT Secretariat will evaluate reports on the implementation of VTF projects by beneficiary states and report to Conference of States Parties via the Selection Committee on the outcomes of the projects. Furthermore, Article 16 of the Terms of Reference provides that the ATT Secretariat shall ensure appropriate monitoring and evaluation of VTF projects.

2. To operationalize the provisions of the VTF Terms of Reference, the '*Guidance for VTF Project Evaluation*' (*Guidance for Evaluation*) was developed to help the ATT Secretariat assess whether VTF funded projects have indeed met their set objectives. The *Guidance for Evaluation* outlines parameters and indicators for evaluating reports of completed VTF projects. The evaluation of completed VTF projects is aimed at facilitating continuous improvement of the VTF as an effective and efficient Treaty implementation support tool. In summary, the VTF project evaluation process is designed to achieve the following objectives: transparency and accountability; effective allocation of resources; and improvement of the quality of projects. The *Guidance for Evaluation* was elaborated by the ATT Secretariat in collaboration with the VTF Selection Committee and was submitted to and noted by the Fifth Conference of States Parties (CSP5) in 2019.

3. The first VTF evaluation report focusing on completed projects implemented during the 2017 VTF project cycle was submitted to and welcomed by CSP9. The current report focuses on multiple VTF projects implemented and completed by states in the period 2017 – 2023.

**EVALUATION METHOD AND FOCUS**

4. The purpose of project evaluation exercise is to assess whether VTF funded projects have met their set objectives, and to enable continuous improvement of the VTF operation.

5. In preparing the current VTF project evaluation report, the ATT Secretariat adopted the evaluation principles outlined in the VTF Terms of Reference and elaborated in the *Guidance for Evaluation*. In this regard, the current evaluation report reflects the evaluation of reports of completed VTF multiple projects implemented by states over the period 2017 – 2023. In this regard, the evaluation has been conducted on the basis of indicators set out in the *Guidance for Evaluation* focusing on project management and project deliverables. Accordingly, the report highlights some lessons learnt and brings to the fore areas of possible improvement that the VTF Selection Committee and the ATT Secretariat can consider in their endeavour to better the VTF operation in support of Treaty universalization and implementation objectives.

6. The source documents used in preparing the current evaluation report include grant application forms, budget forms, interim reports, final reports, grant agreements, and recorded communication exchanges between the ATT Secretariat and beneficiary states.

#### **MULTIPLE VTF PROJECTS EVALUATED**

7. As of December 2023, 45 projects involving 17 beneficiary states were funded in multiple VTF cycles. Attachment A provides an overview of multiple VTF projects implemented and completed by states. Among the 17 beneficiary states listed below, 15 were States Parties, one (1) was a signatory state and the one (1) was for a state that had not yet joined the ATT when the VTF support was received.

**Table 1. List of beneficiary states that completed multiple VTF projects**

No.	State	No.	State
1	Burkina Faso (2018, 2019)	10	Mali (2019, 2021)
2	Chile (2019, 2021)	11	Namibia (2019, 2021)
3	Costa Rica (2017, 2021)	12	Nigeria (2020, 2022)
4	Cote d'Ivoire (2017 x 2, 2018, 2019, 2020, 2022)	13	Palau (2017, 2019)
5	El Salvador (2017, 2021)	14	Senegal (2017, 2018)
6	Fiji (2019, 2021)	15	Sierra Leone (2017, 2019, 2020)
7	Ghana (2017, 2018 x2, 2020)	16	Togo (2017 x 2, 2019, 2020)
8	Liberia (2017, 2021)	17	Zambia(2019 x 2, 2020)
9	Madagascar (2018, 2019, 2022)		

#### **EVALUATION OF MULTIPLE VTF PROJECTS: THE PROJECT MANAGEMENT DIMENSION**

8. The *Guidance for Evaluation* determines that VTF projects has to be evaluated in two dimensions: 1) project management and 2) project deliverables. For each dimension, there is a set of indicators that must be considered in assessing the performance of a project. With respect to the project management dimension, the following indicators are relevant: grant agreement negotiations, interim reports, final reports, communication between beneficiary states and the ATT Secretariat, project schedule, budget considerations, and project implementing partner.

##### **Grant Agreement negotiations**

9. The VTF Selection Committee generally meets in May of every year to consider and decide on project proposals submitted. Following this, applicants are notified of the Selection Committee decisions by mid-June, and requested to clarify or refine certain aspects of their proposals in accordance with the determinations of the Selection Committee. Except for a few, all projects under review were able to submit required information by the set deadline. Consequently, the negotiation of Grant Agreements for approved projects started in good time (July and August).

10. The next step of the process was for beneficiary states to submit information necessary for preparation of Grant Agreements including Memoranda of Understanding (MoUs) or Agreement Letters between beneficiary states and project implementing partners setting out terms of relationship. For this step of the process, the outcomes varied as half of the projects involved met set deadline and the half did not do so as expected. With respect to submission of signed grant agreements, a similar scenario is observable.

#### ***Lesson learnt***

11. It is assessed that there are a number of reasons why states could not submit required documentation in time. And these range from unfamiliarity with VTF requirements, lengthy negotiation between states and implementing partners, change of personnel, and the outbreak of COVID -19 in 2020 that necessitated most states to implement travel restrictions and other measures. It is observed that whilst beneficiary states may have experienced challenges with VTF documentation requirements in first projects, they tended to produce better results in subsequent projects.

12. To improve the situation in this area, the ATT Secretariat currently informs VTF applicants of documentation required for grant agreements early on in the process, including at outreach stages. Additionally, the ATT Secretariat also issues guidance and advice to applicants on essential elements of a typical MOU for a VTF funded project.

#### **Interim reports and Final reports**

13. The VTF requires beneficiary states to submit an interim narrative report and a final narrative and expenditure report. These reports constitute an important mechanism for the ATT Secretariat to monitor progress of projects and assess their overall performance at the end in terms of impact and sustainability. To facilitate project reporting, the ATT Secretariat makes available to beneficiary states the prescribed reporting templates in advance of applicable submission dates of required project reports. It is observed that most projects under review managed to submit their reports by respective set deadlines. However, reports of a few beneficiary states were not submitted by set deadlines.

14. With respect to the overall impact of projects, all beneficiary states of the 45 projects under review indicated in their final reports that their projects were relevant to planned objectives and were conducted in an effective and efficient manner. Beneficiary states also indicated that project outcomes achieved will be sustained as part of broader national effort to implement Treaty obligations.

#### ***Lesson learnt***

15. It is observed that there are many reasons for the delayed submission of project reports in time and in full compliance with set requirements and these mainly fall into two categories. The first category relates to avoidable delays caused by project management limitations of beneficiary states. The second category relates to reporting delays caused by unforeseen and unavoidable natural and political circumstances. To assist states to address some of reporting challenges, the ATT Secretariat includes reporting templates in grant agreements, and raises this issue prominently in VTF outreach events including sharing information on VTF instructional videos focusing on how to prepare VTF reports.

16. Concerning projects involving UN entities as implementing partners, it took some time for these entities to get accustomed to reporting according to VTF prescribed reporting templates and to meet deadlines. The establishment of the framework agreement between the ATT Secretariat and



UNODA has improved the submission of project reports by UN entities in time and in the prescribed VTF format.

### **Communication between beneficiary states and ATT Secretariat**

17. Most beneficiary states responded in a timely manner to the ATT Secretariat's queries and requests during grant agreement negotiations. During project implementation phases, less than half of projects responded to the ATT Secretariat in a timely manner. In few instances where responses were significantly delayed and time was critical, beneficiary states were addressed through a formal letter from the VTF Selection Committee Chairperson.

18. It is observed that most beneficiary states demonstrated improved communication with the ATT Secretariat in their execution of subsequent VTF projects. In most projects beneficiary states communicated with the ATT Secretariat through the designated VTF email address, with the exception of a few exceptional cases. It is noted that beneficiary states that maintain frequent communication with the ATT Secretariat tended to complete projects in time.

### **Project Schedule and Budget Considerations**

19. The ATT Secretariat uses project schedules to track progress of project implementation and to disburse budget instalments. Most projects completed all project activities listed in agreed project schedules. However, some projects could not execute a few planned activities due to various reasons including avoidable (project management related) and unavoidable (natural and political act related) reasons. With respect to budget considerations, all projects under review completed their activities within approved budgets.

### ***Lesson learnt***

20. It is assessed that the inability of beneficiary states to implement projects according to agreed project plans was caused by avoidable or unavoidable circumstances discussed earlier.

21. It is observed that more than 20% of under or over expenditure in certain budget lines was caused by the following factors: currency exchanges, inflation, pandemic impact, political transition, less focused project cost estimates and general inadequate project management and monitoring capacities of beneficiary states. To assist beneficiary states in submitting realistic financial estimates, the ATT Secretariat advises beneficiary states on budget refinements before concluding grant agreements. The ATT Secretariat also routinely invites applicants to refer to the VTF page on ATT website containing examples of approved budgets and actual expenditure of completed projects

### **Project implementing partners**

22. The majority of projects under review engaged implementing partners such international organizations (e.g. UN agencies), independent experts, think tanks and civil society organizations. To formalise working arrangements between beneficiary states and implementing partners, the VTF requires parties to conclude Memoranda of Understanding (MOUs) or Agreements setting out roles and responsibilities of respective parties. For projects under review, project implementing partners mainly supported beneficiary states in areas of research, professional expertise, technical and legal drafting and procurement. Almost all project implementing partners involved in projects under review fulfilled their assigned responsibilities with minor exceptions in cases of delayed support to beneficiary states in preparing VTF reports or in cases where services of project implementing partners were discontinued.

23. Although communication regarding implementation of VTF projects is primarily set between the ATT Secretariat and beneficiary states, there are instances where direct communication with implementing partners is necessary. In such instances, most implementing partners responded to the ATT Secretariat's queries and requests for information and documentation in a timely manner.

#### ***Lesson learnt***

24. Decisions of beneficiary states whether or not to engage an implementing partners in VTF projects is critical to the success of projects. Some beneficiary states opted not to engage implementing partners, and allocated internal resources and delivered successful projects. In cases where beneficiary states decided to engage implementing partners due to internal capacity constraints, the choice of appropriate implementing partners proved essential. Where appropriate implementing partners were selected, projects were largely successful. However, where inappropriate partners were selected, project implementation challenges occurred. In general terms, working with project implementing partners is no guarantee for a successful project.

25. It is observed that most beneficiary states who had good working relationships with project implementing partners tended to continue partnerships with implementing partners in subsequent VTF projects.

26. To address some of the issues raised above, the ATT Secretariat advises beneficiary states to, firstly, carefully assess internal capacities before deciding on involving implementing partners and, secondly, to select appropriate implementing partners. The MoUs between beneficiary states and project implementing partners have proven to be effective tools in encouraging parties to think through and structure their relationships properly in terms of roles, responsibilities and general working arrangements.

27. While capacity building for some beneficiary states in project management is necessary, the same also goes for some project implementing partners, especially when it comes to preparing VTF reports and compiling supporting documents in a manner that enables effective monitoring of projects by the ATT Secretariat.

#### **EVALUATION OF PROJECTS: THE PROJECT DELIVERABLES DIMENSION**

28. The *Guidance for Evaluation* determines that the second dimension for VTF project evaluation is assessment of deliverables produced by a project. To this end, the following indicators are applicable: general considerations, tangible deliverables and capacity building and training.

##### **General considerations**

29. As indicated earlier, among the 17 beneficiary states funded in multiple VTF cycles, 15 states were States Parties, one (1) state was signatory state and the other one (1) state had not yet joined the ATT at the time when its projects were funded.

30. Among the 17 beneficiary states funded in multiple VTF cycles, 11 states received funding for two (2) projects, three (3) states were funded for three (3) projects, two (2) states were funded for four (4) projects, and one (1) state was funded for six (6) projects. Of the 45 projects implemented, most projects involved national or regional awareness raising and capacity building. Of the balance, five (5) involved technical training such as X-ray detection of small arms and light weapons, ten (10) involved procurement of equipment, another ten (10) focused on gap analysis, seven (7) looked at development of national control lists. In general, it is observed that states started projects from the

stage of ATT awareness raising and capacity building and progressed to development of national control lists and legislation.

### **Tangible deliverables**

31. The tangible deliverables of the 45 projects under review were national action plans, ATT implementation roadmaps, national control lists, legislation, ATT reports, national measures document for ATT reporting, inter-agency arrangements, recordkeeping and reporting systems, and procurement of equipment. Some tangible outputs from the projects were made available to the ATT Secretariat. In final analysis, it is assessed that all projects were able to achieve planned, agreed and funded project deliverables (outputs).

### **Capacity building and Training**

32. As evident above, the majority of projects involved capacity building or training workshops of some kind. From projects final reports submitted, it is clear that capacity building workshops conducted benefitted in excess of 2,300 participants in total. In the evaluation part of project final reports submitted, most beneficiary states indicated that the training received from capacity workshops helped their trainees to build and deepen their understanding of the ATT and its implications.

33. It is worth mentioning that four states implemented two (2) projects during the same VTF cycles. In these cases, projects undertaken were complemented each other.

### **CONCLUSION**

34. The final reports received from the 45 completed projects under review indicate that all beneficiary states are satisfied about how their projects went in terms of interface and support from the ATT Secretariat, the VTF Selection Committee and the VTF in general. The 17 beneficiary states have also registered satisfaction concerning the deliverables achieved by their respective projects.

35. Since 2017, the VTF has implemented seven (7) project cycles. It is worth mentioning that during the seven project cycles the VTF has learnt a lot of lessons some of which are reflected in this report.

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## ATTACHMENT A. LIST OF MULTIPLE VTF PROJECTS IMPLEMENTED BY STATES

No.	VTF Cycle	State	Project Title	Budget Total (USD)	Duration (months)			Implementing Partner	Project Summary
					9 to 12	6 to 8	< 6		
1	2018	Burkina Faso	Awareness and Capacity Building Workshops for Effective Implementation of the ATT	98,334		x		None	Capacity building workshops for Customs officials (training on EUCs); awareness-raising workshops for defense and security forces, gunsmiths, private security companies and parliamentarians on ATT provisions.
	2019	Burkina Faso	National workshops to promote the national legislation to implement the ATT in Burkina Faso	94,741		x		None	Organisation of workshops in three regions of the country aimed at promoting the Bill (Act) for the implementation of the ATT which has been recently introduced to Parliament for consideration and possible adoption.
2	2019	Chile	Course for interdicting small arms, ammunitions and their parts and components (ISSAP)	51,234	X			United Nations Regional Centre for Peace and Disarmament and Development in Latin America and the Caribbean (UNLIREC)	Training of customs officers to strengthen capacity to detect and identify small arms, ammunitions and parts and components

	2021	Chile	Build capacity to prevent, detect, and respond to the diversion of conventional weapons in line with Article 11 of the ATT in Chile and Colombia	170,034	X			Small Arms Survey	Development and implementation of training for Chilean and Colombian security forces on preventing and detecting diversion of conventional arms
3	2017	Costa Rica	Training and facilitation of the exchange of experiences in the subregion: clarifying regional processes in customs and border control, as well as promoting inter-institutional coordination to better create national and joint policies for the effective implementation of the Arms Trade Treaty	96,118	X			Arias Foundation for Peace and Human Progress	Two regional conferences
	2021	Costa Rica	Digital platform of the Costa Rican control system of conventional arms transfers	100,000	X			Instituto Tecnológico de Costa Rica (Technological of Costa Rica), Fundación Tecnológica de Costa Rica (FUNDATEC)	Development of a digital platform to enable inter-ministerial coordination on national control, licencing, risk analysis and integration of existing databases
4	2017	Cote D'ivoire	Building capacities of national stakeholders on the implementation of the Arms Trade Treaty	78,096			X	None	National workshops (x 3) to build capacity of 3 groups: Government Ministries, parliamentarians, civil society
	2017	Cote D'ivoire	Strengthening of tools and procedures for transfer control	28,329			X	None	Assessment and revision of existing transfer controls

	2018	Cote D'ivoire	Mise en place d'une base de données des transferts d'armes - Conservation des données Establishment of an Arms Transfer Database - Data Retention	99,717	X			Secrétariat du Conseil National de Sécurité (S-CNS)	Build national capacities on ATT transparency measures and establish a record-keeping system to collect and keep data on transfers.
	2019	Cote D'ivoire	Training workshop on safe and secure ammunition management	68,039			X	None	Training workshop for defence and other security forces in ammunition management
	2020	Cote D'ivoire	Interconnection of end-use weapons sites	98,119	X			None	Development of an integrated data management IT system for stockpile management and training of stockpile managers
	2022	Cote D'ivoire	Strengthening the capacity of ECOWAS States to report under the Arms Trade Treaty	134,707	X			None	Organisation of three/four -day regional workshop on the reporting obligations for ECOWAS member states
5	2017	El Salvador	Strengthening the capacities of the National Authority for the implementation of the ATT through equipment and training	97,081	X			UNLIREC	National workshops (x 3) on legal assistance; tehcnical assistance; and developing National Control List; plus equipment acquisition (marking machine)
	2021	El Salvador	Procurement of a basic military version MTI Projectile Recovery Chamber of 24 inches	98,500			X	None	Procurement of equipment to be used in recovering projectiles for forensic analysis
6	2019	Fiji	Strengthening inter-agency cooperation and reporting practices for ATT ratification	98,023	X			Centre for Armed Violence Reduction (CAVR)	National capacity building workshops to facilitate ATT ratification and implementation; amendment of existing legislation to give effect to the ATT and installation of a database to store arms transfer information.

	2021	Fiji	Final steps to ATT accession and full compliance	92,491	X			CAVR	Facilitation of ATT accession process, review of the Arms and Ammunition Acts and customisation of record keeping system
7	2017	Ghana	Adoption of National Control List and Capacity Building for Implementing Agencies in Ghana	97'905			X	UNDP	Development of National Control List and training on the list
	2018	Ghana	Adoption of an Institutional Legal Framework for the Implementation of the Arms Trade Treaty	99,958	X			Lex Emporium Attorney @ Law	Establishing a legal framework for the work of the competent national authority.
	2018	Ghana	National Sensitization and Capacity Building Workshop for Members of Parliament	50,718			X	International Action Network on Small Arms	Capacity building workshop for Members of the Defence and Interior Committee of the Parliament of Ghana.
	2020	Ghana	Adoption of a comprehensive harmonised legislation for the implementation of the ATT	99,826			X	LEX EMPORIUM ATTORNEY @LAW	Development and adoption of a comprehensive and harmonised single legislation for the implementation of the ATT in Ghana
8	2017	Liberia	Drafting legislations to support the effective implementation of the ATT in Liberia	99,221	X			Liberia Action Network on Small Arms (LANSA)	National awareness dialogue; Strengthen capacity of LANSA; Develop new legislation; Adopt Wassenaar Control List; Complete Broker registry; Establish NPC on ATT; establish cross-governmental Licensing Committee

	2021	Liberia	Filling arms control record keeping gaps in Liberia	98,654	X			CAVR	Installation of a record keeping and reporting system (Arms Tracker) as well as training of government officials on the use of the record keeping system.
9	2018	Madagascar	Workshop on Appropriation of the ATT Issues for the National Authorities, the Private Sector and Civil Society	99,414			X	United Nations Regional Center for Peace and Disarmament (UNREC)	Workshop for national authorities, private sector and civil society on ATT issues.
	2019	Madagascar	Technical and Financial Assistance for the Procurement of a laser Technology Ammunition Marking Machine	99,596	X			UNREC	Acquisition of ammunition marking machine and training on use of the marking machine
	2022	Madagascar	Procurement of weapons destruction equipments	99,300			X	None	Procurement of two mobile arms grinder machine and two trucks for the destruction of the collected arms
10	2019	Mali	Implementation of the ATT in Mali-Road map	98,138	X			Group for Research and Information on Peace and Security (GRIP)	Assessment of Mali's legislative framework for ATT compliance, capacity building for agencies responsible for ATT implementation and development of a national roadmap to guide Mali's systematic implementation of the ATT.



	2021	Mali	Building knowledge and capacities to strengthen the implementation of the ATT roadmap	69,250		X		GRIP	Implementation of the national ATT roadmap by conducting legal analysis and organising seminars for government officials, parliamentarians, private sector and civil society
11	2019	Namibia	ATT academia in Southern Africa	231,559	X			Controls Arms (Non-Violence International)	Capacity building training workshops for 10 members of the Southern African Development Community (SADC)
	2021	Namibia	ATT Implementation in Namibia: Towards a Comprehensive National Control System	79,709	X			Control Arms (Nonviolence International)	Review of legislation governing arms transfers; development of National Controls List; preparation of Initial Report; and recommendations for future areas of work
12	2020	Nigeria	Strengthening inter-agency cooperation for the Treaty implementation and reporting	95,102	X			IANSA Women Network Nigeria	National capacity building workshop to strengthen inter-agency cooperation to facilitate the implementation of the ATT and to enhance national capacity to meet its reporting obligations
	2022	Nigeria	Drafting, Validation and adoption of a Comprehensive National Legislation for the Arms Trade Treaty Implementation	99,680	X			IANSA Women Network	Development of a draft comprehensive national legislation for effective implementation of the ATT, Organisation of workshops

13	2017	Palau	Enhancing Palau's arms control system: Ready to meet Arms Trade Treaty obligations	85'505	X			CAVR - Secretariat for the Pacific Small Arms Action Group (PSAAG)	Assessment of legislative and procedural gaps in current transfer control system; Capacity building workshops for sub-region
	2019	Palau	Strengthening inter-agency cooperation and reporting practices for ATT ratification	94,749	X			CAVR	National capacity building workshops to facilitate ATT ratification and implementation; amendment of existing legislation to give effect to the ATT and installation of a database to store arms transfer information.
14	2017	Senegal	Building Capacity in Effective Implementation of the ATT	97'405			X	Geneva Centre for Security Policy (GCSP)	5-Day Training Course for Officials involved in ATT implementation
	2018	Senegal	Building Capacity in Effective Implementation of the Arms Trade Treaty (ATT)	85,629	X			GCSP	Training course for officials involved in ATT implementation in Senegal and neighbouring francophone countries.

15	2017	Sierra Leone	Assessing Sierra Leone's ATT implementation compliance	82'775	X			Saferworld	Production of Assessment Report; Presentation of Final Report to Sierra Leone Stakeholders; Workshop to discuss development of National Action Plan for Adoption by Sierra Leone Government
	2019	Sierra Leone	Advocacy Campaigns with Members of Parliament (MPs) on Amendment of relevant legislations (the SLeNCSA and Arms and Ammunition Acts)	53,275			X	Sierra Leone Action Network on Small Arms (SLANCA)	5 Advocacy campaigns to speed up the amendment process of SLeNCSA Act and expanding the scope of both to all conventional arms.
	2020	Sierra Leone	Capacity building workshops for effective ATT implementation at regional level	55,977		X		None	Capacity building workshops on ATT implementation conducted at regional level
16	2017	Togo	TCA appropriation and implementation training project; Advocacy for the adoption and popularisation of the preliminary draft law on the arms regime	99'970		X		European Union , BAFA	Training Workshops on the appropriation and implementation of the ATT in the chief locations of the five regions of Togo and Grand Lomé; Awareness and popularisation campaign of the preliminary draft law on weapons (exchanges dialogues, radio broadcasts and broadcast of audio spots)

	2017	Togo	Strengthening the capacities of African States in the implementation of the ATT	200,743		X		Permanent Delegation of African Union in Geneva	Capacity building three-day workshops for better understanding of the ATT and of processes at its Secretariat, better synergy between Geneva-based Missions and African capitals, identify opportunities and challenges in implementing ATT, share experience among countries
	2019	Togo	Incorporation of ATT provisions into the domestic legal systems of the French speaking African States Parties	267,389		X		Permanent delegation of the African Union in Geneva	Regional workshop to help States Parties in the region identify key elements of the ATT for incorporation into their national laws and building capacity of parliamentarians to domesticate the ATT
	2020	Togo	Training workshops on the safe and secure management of weapons and ammunition stockpiles	53,716		X		None	Workshops to train defense and security officers in the safe and secure management of weapons and ammunition stocks throughout the country to prevent diversion
17	2019	Zambia	Building capacity to establish and maintain Zambia's national control list	45,850	X			Small Arms Survey	Capacity building and technical knowledge to enable Zambia to adopt a national control list that complies with relevant provision contained in ATT Article 5

	2019	Zambia	Building capacity to fulfil Zambia's ATT reporting obligations	87,259	X			Small Arms Survey	Capacity building to enable Zambia to submit its initial reports on measures to implement the ATT and establish procedures to ensure the timely submission of annual reports, sharing lessons learned on developing national procedures for ATT reporting
	2020	Zambia	Building capacity to draft Zambia's Conventional Arms Control Act to implement the ATT	93,197	X			Small Arms Survey	Building of Zambia's legislative drafting capacity and preparation of Zambia's legislation to implement the ATT