

Arms Trade Treaty
Sixth Conference of States Parties
Geneva, 17 – 21 August 2020
(By written procedure)

**REPORT ON THE WORK OF THE ATT VOLUNTARY TRUST FUND (VTF)
FOR THE PERIOD AUGUST 2019 TO AUGUST 2020**

INTRODUCTION

1. This report is submitted by the ATT Secretariat, as administrator of the Voluntary Trust Fund (VTF), in accordance with its reporting obligations to the Conference of States Parties as contemplated in the VTF Terms of Reference and VTF Administrative Rules.
2. The report is subdivided into four parts:
 - a. Background.
 - b. Status of VTF operations.
 - c. Status of tasks allocated by CSP5.
 - d. Looking forward.

I. BACKGROUND

3. The VTF is a flexible multi-donor Fund that supports States in implementing their obligations under the Treaty through the deposit and disbursement of funds. The VTF was established by the Second Conference of States Parties pursuant to Article 16(3) of the Treaty to operate under its approved Terms of Reference (ATT/VTF18/2018/SEC/251/ToR.Cons.Dr.v1.Rev1) as amended at CSP4.
4. In accordance with Article 6 of the approved VTF Terms of Reference, the Fourth Conference of States Parties appointed *Australia, Chile, Finland, Germany, Japan, Madagascar, Mauritius, Mexico, New Zealand, Norway, South Africa, Sweden, Switzerland, the Netherlands* and the *United Kingdom* to serve on the Selection Committee of the VTF for two years, from the Fourth Conference of States Parties to the Sixth Conference of States Parties (eligible for reappointment).
5. On 24 August 2018, immediately after the closure of CSP4, the VTF Selection Committee met and selected Ambassador Peter BEERWERTH of Germany to serve as Chairperson until the Fifth Conference of States Parties and Ambassador Dell HIGGIE of New Zealand to serve as Chairperson from the Fifth to the Sixth Conference of States Parties.
6. Article 5 of the VTF Terms of Reference provides that the VTF shall be administered by the ATT Secretariat with the support of the VTF Selection Committee. The VTF Administrative Rules (which are

founded on the VTF Terms of Reference) set out, in detail, the distinct yet complementary roles of the ATT Secretariat and the VTF Selection Committee with respect to the functioning of the VTF.

II. STATUS OF VTF OPERATIONS

FINANCIAL STATUS OF THE VTF

7. Since its first call for contributions in 2016, the VTF has received USD 8'944'655.21 in voluntary financial contributions from 28 States, namely: Argentina, Australia, Austria, Bulgaria, Canada, Costa Rica, Cyprus, the Czech Republic, Finland, France, the Government of Flanders (Belgium), Germany, Ireland, Japan, Mexico, Montenegro, the Netherlands, New Zealand, Norway, the Philippines, Poland, Portugal, the Republic of Korea, Slovenia, Spain, Sweden, Switzerland and the United Kingdom. This includes contributions specifically earmarked for VTF outreach activities from Germany and New Zealand. The breakdown of received financial contributions is reflected in Annex A.

8. The financial contributions that were received provided a sound basis for the first (2017), second (2018), third (2019) and fourth (2020) VTF projects cycles.

9. Of the contributions received by the VTF, a total of USD 1'205'911.20 were committed to the implementation of projects approved for the first VTF (2017) cycle, USD 674'837.00 were committed to projects in the second VTF (2018) cycle, USD 1'999'128 were committed for the projects of the third VTF (2019) cycle and a further USD 1'164'428 have thus far been allocated to the implementation of projects approved for the fourth (2020) VTF cycle, subject to the finalisation of Grant Agreements.

STATUS OF VTF PROJECTS

10. Since the VTF was established by CSP2, the Chairpersons of the VTF Selection Committee issued four calls for project proposals for four project cycles: the first VTF cycle (2017), the second VTF cycle (2018), the third VTF cycle (2019), and the fourth VTF cycle (2020). The first 'Call for Project Proposals' was issued on 20 December 2016, inviting States to submit applications to the Fund during the period 03 January – 31 March 2017. The second 'Call for Project Proposals' was issued on 02 October 2017, inviting States to submit applications during the period 09 October 2017 – 08 January 2018. The third 'Call for Project Proposals' was issued on 08 October 2018, inviting States to submit applications during the period 15 October 2018 – 16 January 2019. The fourth 'Call for Project Proposals' was issued on 16 October 2019, inviting States to submit applications during the period 16 October 2019 – 16 January 2020.

First VTF cycle (2017)

11. As reported to CSP3, the VTF Selection Committee approved 17 projects for funding in the first (2017) VTF cycle. Following the withdrawal of two applications, 15 VTF projects were implemented upon conclusion of Grant Agreements with the ATT Secretariat. By the conclusion of this report all fifteen (15) VTF projects have been completed. Annex B provides an overview of 2017 VTF projects approved and Annex C provides an overview of the status of 2017 VTF projects. All final reports of the 2017 VTF projects are available on the VTF page of the ATT website.

Second VTF cycle (2018)

12. As reported to CSP4, the VTF Selection Committee approved 10 projects for funding in the second (2018) VTF cycle. Following the withdrawal of one application, nine (9) VTF projects were implemented upon conclusion of Grant Agreements with the ATT Secretariat. By the conclusion of this report, all nine (9) VTF projects had been completed. Annex D provides an overview of 2018 VTF

projects approved and Annex E provides an overview of the status of 2018 VTF projects. All final reports of the 2018 VTF projects are available on the VTF page of the ATT website.

Third VTF cycle (2019)

13. As reported to CSP5, the VTF Selection Committee approved 20 projects for funding in the third (2019) VTF cycle. Following the withdrawal of one application, 19 VTF projects were implemented upon conclusion of Grant Agreements with the ATT Secretariat. By the conclusion of this report, four (4) VTF projects had been completed, with the remainder to be concluded by October 2021. Annex F provides an overview of 2019 VTF projects approved and Annex G provides an overview of the status of 2019 VTF projects.

14. As a consequence of COVID-19 outbreak, all incomplete projects from the 2019 VTF cycle have been forced to suspend their project activities. All 2019 projects have been granted extensions of time to complete their project activities. The ATT Secretariat monitors the situation by staying in regular contact with 2019 grantees to ensure that when project activities resume, they are concluded within the agreed timeframe.

15. Accordingly, while the 2019 VTF-funded projects were scheduled for implementation between June 2019 and June 2021, the end of the cycle is now projected to be October 2021 at the latest. Upon finalization, the final reports of the VTF projects will be made available on the VTF page of the ATT website.

Fourth VTF Cycle (2020)

16. By the closing date of 16 January 2020, the ATT Secretariat received 26 applications from 20 different States. In accordance with its mandate, the ATT Secretariat conducted the pre-screening exercise on the received applications and, in line with previous practice, gave concerned States the opportunity to address certain errors in their project proposals.

17. In the light of the developments with respect to the COVID-19 outbreak and following consultation with the Chairman of the VTF Selection Committee, the ATT Secretariat contacted all applicants to the 2020 VTF cycle to confirm their interest and capacity to continue with their proposed projects. The ATT Secretariat subsequently prepared a report shortlisting 23 eligible applications for consideration by the VTF Selection Committee.

18. The VTF Selection Committee met virtually on 26 May 2020 to review and consider the shortlisted applications by applying the VTF criteria set out in the VTF Terms of Reference with a view to assessing the merits and quality of project proposals. The VTF Selection Committee provisionally approved 12 projects for VTF funding, subject to further clarification of certain aspects and negotiation of grant agreements.

19. The 12 provisionally approved projects involve 12 States. The overview of the provisionally approved projects for the 2020 VTF cycle is provided in Annex H. The ATT Secretariat communicated the VTF Selection Committee's decisions to all applicants, notifying them of the outcomes of their applications to the Fund and is in the process of negotiating Grant Agreements with the successful applicants.

20. As part of the contracting process, grantees will be required to provide a COVID-19 risk-mitigation strategy that sets out the measures they will implement to ensure their project meets their government's health and safety guidelines associated with COVID-19 and/or aligns with the World Health Organisation (WHO) guidelines (e.g. social distancing during scheduled meetings; limited number of participants; access to hand sanitizer and/or the wearing of masks etc).

21. It is expected that the 2020 VTF projects will commence activities in January 2021, at the earliest, and that no VTF funds will therefore be transferred to any grantee until January 2021, at the earliest. However, if a grantee can satisfy the ATT Secretariat that its project is able to start in advance of January 2021 - taking into account the COVID situation in the State in question and the grantee's ability to put in place the necessary precautions – then the project may commence before January 2021 and the funds will be released accordingly.

22. While the 2020 VTF-funded projects would normally be scheduled for the implementation between June 2020 and June 2021, they will now be scheduled for implementation between January 2021 and December 2021 because of the COVID-19 impact.

Fifth VTF cycle (2021)

23. Subject to developments regarding COVID-19, it is envisaged that the fifth VTF project cycle (2021) will be structured along similar lines to the previous VTF project cycles, with a call for proposals to be issued in October 2020 with a deadline of mid-January 2021.

ACTIVITIES OF THE VTF SELECTION COMMITTEE BETWEEN CSP5 AND CSP6

24. In accordance with the past practice, the VTF Selection Committee adopted the document 'VTF Tasks and Timeline 2019/2020' to guide its works in terms of expected deliverables and timing of such deliverables (see Annex I).

25. As announced by the Chairperson of the VTF Selection Committee during CSP5, part of the funds contributed by New Zealand to the VTF Outreach Programme would be used to fund instructional videos to help applicants complete and submit quality applications to the VTF. The first of the videos will be publicly available on the dedicated VTF webpage in advance of the call for project proposals for the fifth VTF project cycle.

26. In addition, the ATT Secretariat prepared examples of a 'model' Grant Application Form and Detailed Budget form to provide guidance to prospective applicants on how to complete the forms with detailed and adequate information.

III. STATUS OF VTF TASKS ALLOCATED BY CSP5

27. At its plenary session from 26-30 August 2019 the Conference of States Parties took the following decisions:

- a. Noted the 'Guidance for VTF Project Evaluation' as contained in Annex H of the VTF Report to CSP5 referenced ATT/VTF/2019/CHAIR/531/Conf.Rep.
- b. Welcomed the decision for the VTF to undertake further outreach activities to promote the VTF in the period leading up to the Sixth Conference of States Parties.

28. The status of implementation of tasks set out in paragraph 21(c) ('Guidance for the VTF Project Evaluation') and paragraph 20 (d) (VTF outreach activities) is described below.

Guidance for the VTF Project Evaluation

29. The VTF Terms of Reference (paragraph 13) set out that the ATT Secretariat will evaluate reports on the implementation of VTF projects by beneficiaries. Accordingly, a 'Guidance for VTF Project Evaluation' was developed to help the ATT Secretariat to assess whether the VTF funded projects have met their set objectives. The evaluation of projects is orientated towards completed

projects. The 2017 projects were concluded by the end of 2019 and it was planned that a selected sample will be evaluated in 2020. This plan was unexpectedly disrupted by the COVID-19 outbreak.

VTF Outreach Activities

30. In line with the VTF Outreach Strategy, a number of outreach activities were undertaken in the reporting period. The VTF Chair and members of the ATT Secretariat participated in UNGA First Committee in New York in October 2019; members of the ATT Secretariat participated in the Commonwealth Island States meeting in London (UK) in September 2019 and the VTF regional project in Lomé (Togo) in October 2019; and a representative of the VTF Chair and members of the ATT Secretariat participated in the VTF 2019 Outreach Day (Geneva) in December 2019 and the ATT course organized by GCSP (virtual) in April 2020. As a result of COVID-19 outbreak and the imposition of travel restrictions, other planned outreach activities could not be carried out.

IV. LOOKING FORWARD

31. Noting the potential impact of the ongoing situation regarding COVID-19 on its activities, In the period up to CSP7 , the VTF will endeavour to:

- a. Undertake further VTF outreach activities, in line with the VTF Outreach Strategy.
- b. Provide assistance to States to improve the quality of VTF applications, with the intended goal of enabling more proposals that meet the eligibility and selection criteria for VTF funding, including the production of explanatory videos to assist potential VTF applicants.
- c. Evaluate completed VTF projects, in line with the 'Guidance for VTF Project Evaluation'.

ANNEX A. FINANCIAL STATUS OF THE VTF

Contributions to VTF projects		
1	Argentina	USD 10,000.00
2	Australia	USD 475,464.17
3	Austria	USD 1,970.17
4	Bulgaria	USD 2,039.77
5	Canada	USD 11,000.00
6	Costa Rica	USD 2,000.00
7	Cyprus	USD 33,279.30
8	Czech Republic	USD 38,143.88
9	Finland	USD 1,303,675.50
10	France	USD 179,186.79
11	Government of Flanders, Belgium	USD 43,839.68
12	Germany	USD 1,779,661.70
13	Ireland	USD 85,325.00
14	Japan	USD 3,000,000.00
15	Mexico	USD 30,000.00
16	Montenegro	USD 1,616.78
17	Netherlands	USD 339,253.00
18	New Zealand	USD 113,849.50
19	Norway	USD 115,050.72
20	Philippines	USD 5,000.00
21	Poland	USD 10,000.00
22	Portugal	USD 10,895.23
23	Republic of Korea	USD 70,000.00
24	Slovenia	USD 21,849.04
25	Spain	USD 59,277.18
26	Sweden	USD 563,955.87
27	Switzerland	USD 249,151.93
28	United Kingdom	USD 389,180.00
Total for VTF projects		USD 8,944,665.21
Contributions to VTF Outreach Programme		
1	Germany	USD 82,328.89
	Germany- Refund	-USD 41,878.38
2	New Zealand	USD 29,781.00
Total for VTF Outreach Programme		USD 70,231.51
TOTAL CONTRIBUTIONS TO VTF RECEIVED		USD 9,014,896.72

ANNEX B. 1ST VOLUNTARY TRUST FUND CYCLE (2017): OVERVIEW OF PROJECTS APPROVED FOR ATT VTF FUNDING

No.	State	Project Title	Implementing Partner	Type of implementation assistance	Total Budget Approved (USD)	Total Budget Spent (USD)
1	Cameroon	Fast Tracking the Universalization and Implementation of the ATT in Cameroon	Cameroon Youths and Students Forum for PEACE (CAMYOSFOP)	National workshops to promote ATT (Publication and dissemination of ATT manual; 'advocacy' workshops with Govt. Ministries and Parliamentarians; building capacity of journalists; building capacity of key Govt. Ministries)	94,642.00	94,642.00
2	Costa Rica	Training and facilitation of the exchange of experiences in the sub region: clarifying regional processes in customs and border control, as well as promoting inter-institutional coordination to better create national and joint policies for the effective implementation of the Arms Trade Treaty (ATT)	Arias Foundation for Peace and Human Progress	Two regional conferences	96,118.00	88,171.65
3	Côte d'Ivoire (1)	Building capacities of national stakeholders on the implementation of the Arms Trade Treaty	None	National workshops (x 3) to build capacity of 3 groups: Government Ministries, parliamentarians, civil society	78,096.00	69,801.94
4	Côte d'Ivoire (2)	Strengthening of tools and procedures for transfer control	None	Assessment and revision of existing transfer controls	28,187.00	25,981.38
5	El Salvador	Strengthening the capacities of the National Authority for the implementation of the ATT through equipment and training.	United Nations Regional Centre for Peace, Disarmament, and Development in Latin America and	National workshops (x 3) on legal assistance; technical assistance; and developing National Control List; plus equipment acquisition (marking machine)	97,081.00	90,975.17

			the Caribbean (UNLIREC)			
6	Ghana	Adoption of National Control List and Capacity Building for Implementing Agencies in Ghana	UNDP	Development of National Control List and training on the list	89,345.00	89,345.00
7	Guatemala	Implementation of the Arms Trade Treaty in the Republic of Guatemala	United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean (UNLIREC)	Strengthen national capacities for establishing effective transfer controls; strengthening normative and institutional framework for ATT implementation; establishment of National Control Authority; Legal Assistance - review of current legislation	96,325.68	88,208.26
8	Liberia	Drafting legislations to support the effective implementation of the ATT in Liberia	Liberia Action Network on Small Arms (LANSA)	National awareness dialogue; Strengthen capacity of LANSA; Develop new legislation; Adopt Wassenaar Control List; Complete Broker registry; Establish NPC on ATT; establish cross-governmental Licensing Committee	91,501.05	71,957.63
9	Mauritius	Capacity Building for enforcement authorities and legal departments	None	National workshop to build capacity for Mauritius Police Force, Ports Authority, Revenue authority (Customs Department), State Law Office	19,795.00	14,544.70
10	Palau	Enhancing Palau's arms control system: Ready to meet Arms Trade Treaty obligations	Centre for Armed Violence Reduction (CAVR) - Secretariat for the Pacific Small Arms Action Group (PSAAG)	Assessment of legislative and procedural gaps in current transfer control system; Capacity building workshops for sub-region	85,505.00	72,679.25

11	Philippines	Developing and Implementing a Training Course on Licensing, Investigation and Enforcement Policies and Procedures on Conventional Weapons	Nonviolence International	Training course to build and enhance the capacity of the state's implementing agencies on the areas of licensing, investigation and enforcement	99,996.85	99,996.85
12	Samoa*	Streamlining arms data collection and reporting in Samoa	Centre for Armed Violence Reduction (CAVR)	Assessment of gaps in current information management, data collection and reporting system; Implementation Plan for database system; Train the Trainer Workshop on Information management and database system	[72,113.00]	
13	Senegal	Building Capacity in Effective Implementation of the Arms Trade Treaty (ATT)	Geneva Centre for Security Policy (GCSP)	5-Day Training Course for Officials involved in ATT implementation	85,739.00	82,361.62
14	Sierra Leone	Assessing Sierra Leone's ATT implementation compliance	Saferworld	Production of Assessment Report; Presentation of Final Report to Sierra Leone Stakeholders; Workshop to discuss development of National Action Plan for Adoption by Sierra Leone Government	78,495.00	75,310.00
15	Togo (1)	TCA appropriation and implementation training project; Advocacy for the adoption and popularization of the preliminary draft law on the arms regime	None	Training Workshops on the appropriation and implementation of the ATT in the chief locations of the five regions of Togo and Grand Lomé; Awareness and popularization campaign of the preliminary draft law on weapons (exchanges dialogues, radio broadcasts and broadcast of audio spots)	99,675.00	93,619.05
16	Togo (2)	Strengthening the capacities of African States in the implementation of the ATT	Permanent Delegation of the African Union in Geneva	Capacity building three-day workshops for better understanding of the ATT and of processes at its Secretariat, better synergy between Geneva-based Missions and African capitals, identify opportunities and challenges in implementing ATT, share experience among countries	180,438.38	148,291.65

17	Zambia & Swaziland*	ATT Academy for Southern Africa	Control Arms	ATT Academy training on ATT implementation	[170,344.00]	
		Total			1,320,939.96	1,205,911.20

* The VTF application submitted by Samoa and the joint application submitted by Zambia and Swaziland were approved by the VTF Selection Committee, however, these States withdrew their applications prior to the finalisation of a Grant Agreement with the ATT Secretariat, and these projects are not proceeding.

ANNEX C. STATUS OF IMPLEMENTATION OF 2017 VTF PROJECTS

17 July 2019
ATT Secretariat

STATUS OF 2017 CYCLE VTF PROJECTS 17 July 2019

No.	State	Grant Agreement signed	Final Report received	3 rd /Final instalment transferred	Closed
1	Cameroon	✓	02-Nov-18	✓	✓
2	Costa Rica	✓	30-Nov-18	✓	✓
3	Côte d'Ivoire (1)	✓	24-Sept-2018	✓	✓
4	Côte d'Ivoire (2)	✓	02-Nov-17	✓	✓
5	El Salvador	✓	10-Jul-18	✓	✓
6	Ghana	✓	17-Sept-18	✓	✓
7	Guatemala	✓	14-Dec-18	✓	✓
8	Liberia	✓	19-Feb-19	NA	✓
9	Mauritius	✓	30-Jan-18	NA	✓
10	Palau	✓	02-Oct-18		✓
11	Philippines	✓	30-Sept-18	✓	✓
12	Samoa	WITHDRAWN			
13	Senegal	✓	01-May-18	✓	✓
14	Sierra Leone	✓	30-Apr-19	✓	✓
15	Togo (1)	✓	01-May-18	✓	✓
16	Togo (2)	✓	01-May-18	✓	✓
17	Zambia & Swaziland	WITHDRAWN			

ANNEX D. 2nd VOLUNTARY TRUST FUND CYCLE (2018): OVERVIEW OF PROJECTS APPROVED FOR ATT VTF FUNDING

No.	State	Implementing Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)	Total Budget Spent (USD)
1	Burkina Faso	None	Awareness and Capacity Building Workshops for Effective Implementation of the ATT	Capacity building workshops for Customs officials (training on EUCs); awareness-raising workshops for defense and security forces, gunsmiths, private security companies and parliamentarians on ATT provisions.	73,013.00	73,177.27
2	Chad*	CPS-AVIP - Centre for Peace, Security and Armed Violence Prevention	Assistance and Capacity Building of National Actors and Stakeholders on the Implementation of the Arms Trade Treaty in Chad	Capacity building workshops for Government Ministries, Parliamentarians and civil society on ATT implementation; develop a Roadmap for implementation.	[99,717.00]	
3	Côte d'Ivoire	Secrétariat du Conseil National de Sécurité (S-CNS)	Mise en place d'une base de données des transferts d'armes - Conservation des données Establishment of an Arms Transfer Database - Data Retention	Build national capacities on ATT transparency measures and establish a record-keeping system to collect and keep data on transfers.	99,131.00	88,577.28
4	Dominican Republic	Centro Regional de las Naciones Unidas para la Paz, el Desarme y el Desarrollo en América Latina y el Caribe (UNLIREC)	Implementacion del Tratado sobre el Comercio de Armas en República Dominicana Implementation of the Arms Trade Treaty Dominican Republic	Strengthening of national capacities for the establishment and the application of effective controls on transfers of items under the ATT through workshops, training course (UNLIREC's CITCA course), assessment of security of existing arsenals and development of SOPs.	99,007.00	90,888.52

5	Ghana (1)	Lex Emporium Attorney @ Law	Adoption of an Institutional Legal Framework for the Implementation of the Arms Trade Treaty	Establishing a legal framework for the work of the competent national authority.	99,958.00	99,977.00
6	Ghana (2)	International Action Network on Small Arms	National Sensitization and Capacity Building Workshop for Members of Parliament	Capacity building workshop for Members of the Defence and Interior Committee of the Parliament of Ghana.	45,636.00	45,636.00
7	Madagascar	United Nations Regional Center for Peace and Disarmament - UNREC	Workshop on Appropriation of the ATT Issues for the National Authorities, the Private Sector and Civil Society	Workshop for national authorities, private sector and civil society on ATT issues.	69,875.00	47,594.00
8	Paraguay	United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean (UNLIREC)	Implementation of the Arms Trade Treaty in Paraguay	Strengthening of national capacities on ATT through workshops, training course (UNLIREC's CITCA course).	96,733.00	79,627.50
9	Samoa	Centre for Armed Violence Reduction (CAVR)	Streamlining Arms Data Collection and Reporting in Samoa	Implementation of an automated and centralised data collection and reporting system; build capacity of police and customs to use automated database system for record-keeping involving a 'training the trainers' programme.	67,670.00	67,053.00
10	Senegal	Geneva Centre for Security Policy (GCSP)	Building Capacity in Effective Implementation of the Arms Trade Treaty (ATT)	Training course for officials involved in ATT implementation in Senegal and neighbouring francophone countries.	85,786.00	82,306.43
Total					736,809.00	674,837.00

* The VTF application submitted by Chad was approved by the VTF Selection Committee, however, Chad's application was withdrawn prior to the finalisation of a Grant Agreement with the ATT Secretariat, and this project is not proceeding.

ANNEX E.STATUS OF IMPLEMENTATION OF 2018 VTF PROJECTS



27 January 2020
ATT Secretariat

STATUS OF 2018 CYCLE VTF PROJECTS, 27 January 2020

No.	State	Grant Agreement signed	Final Report received	3 rd /Final instalment transferred	Closed
1	Chad	WITHDRAWN			
2	Burkina Faso	✓	01-Apr-19	✓	✓
3	Côte d'Ivoire	✓	20-Nov-19	✓	✓
4	Dominican Republic	✓	15-Jan-20	✓	✓
5	Ghana (1)	✓	01-Julr-19	✓	✓
6	Ghana (2)	✓	26-Feb-19	✓	✓
7	Madagascar	✓	15-Dec-2018	✓	✓
8	Paraguay	✓	15-Jan-20	✓	✓
9	Samoa	✓	30-Nov-19	✓	✓
10	Senegal	✓	17-April-19	✓	✓

ANNEX F. 3RD VOLUNTARY TRUST FUND CYCLE (2019): OVERVIEW OF PROJECTS APPROVED FOR ATT VTF FUNDING

No	State	Implementing Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)	Total Budget Spent (USD)
1	Antigua and Barbuda	Coalition for Development and the Reduction of Armed Violence (CDRAV)	Implementing the United Nations Arms Trade Treaty - The CARICOM Way Forward	A regional workshop designed to: 1) identify and mobilize key state officials for strategic planning on universalization, implementation and reporting; 2) agree a regional plan of action for universalization, implementation and reporting; and 3) identify and agree a regional project to enable implementation (to be implemented with VTF funding).	97,064.00	64,998.00
2	Burkina Faso	None	Workshops to disseminate the law implementing the ATT in Burkina Faso	Organisation of workshops in three regions of the country to promote a Bill (Act) for the implementation of the ATT, which has been recently introduced to Parliament for consideration and possible adoption.	80,119.00	
3	Chile	United Nations Regional Centre for Peace, Disarmament and Development in Latin America and the Caribbean (UNLIREC)	Course for interdicting small arms, ammunitions and their parts and components (ISSAP)	Five (5) days training of front-line officers who control import, export and transit operations in fighting against diversion and illicit trafficking of arms.	54,889.00	

4	Côte d'Ivoire	None	Training workshop on safe and secure ammunition management	Two (2) training workshops for capacity building on safe and secure ammunition management for security and defence forces.	68,039.00	57,852.00
5	Fiji	Centre for Armed Violence Reduction (CAVR)	Strengthening inter-agency cooperation and reporting practices for ATT ratification	National capacity building workshops to facilitate ATT ratification and implementation; amendment of existing legislation to comply with ATT and installation of a database to store arms transfer information.	98,023.00	
6	Kazakhstan	UN Regional Centre for Peace and Disarmament in Asia and the Pacific (UNRCPD)	Arms Trade Treaty Universalization and implementation workshop for Central Asia and Mongolia	Regional workshop to promote universalization of the ATT in the region and build institutional capacity for future implementation.	97,160.00	
7	Lebanon	Permanent Peace Movement (PPM) and Saferworld	Assessing Lebanon's ATT implementation compliance	Assessment of Lebanon's system's readiness to comply with the ATT implementation requirements through analysis of legislative framework, institutional arrangements, capacities and arms transfer regulation processes.	95,501.00	
8	Madagascar	United Nations Regional Centre for Peace and Disarmament in Africa - UNREC	Technical and financial assistance for the procurement of a laser technology ammunition marking machine	Acquisition of ammunition marking machine and training on use of the marking machine.	95,190.00	95,190.00

9	Mali	Group for Research and Information on Peace and Security (GRIP)	Implementation of the ATT in Mali - Roadmap	Assessment of Mali's legislative framework for ATT compliance, capacity building for agencies responsible for ATT implementation and development of a national roadmap to guide Mali's systematic implementation of the ATT.	98,138.00	
10	Mozambique	UNDP	Small arms, light weapons and explosives management system	Completion of a project commenced in 2009 to establish an online national registry to enable the Ministry of Interior to effectively manage the ownership of state and civilian fire arms, ammunitions and explosives.	98,868.00	
11	Namibia	Control Arms (Non-Violence International)	ATT Academy in Southern Africa	Capacity building training workshops for 10 members of the Southern African Development Community (SADC).	230,895.00	
12	Palau	Centre for Armed Violence Reduction (CARV)	Strengthening inter-agency cooperation and reporting practices for ATT ratification	National capacity building workshops to facilitate ATT ratification and implementation; amendment of existing legislation to comply with ATT and installation of a database to store arms transfer information.	94,749.00	
13	Serbia	Small Arms Survey	Building national capacity to prevent and address diversion	Capacity building and technical knowledge to further strengthen the ability of Serbia to prevent and address the diversion of conventional arms.	96,247.00	

14	Sierra Leone	Sierra Leone Action Network on Small Arms (SLANCA)	Advocacy Campaigns with Members of Parliament (MPs) on Amendment of relevant legislations (the SLeNCSA and Arms and Ammunition Acts)	Five (5) advocacy campaigns for Members of Parliament and key stakeholders on the ATT provisions and requirements for compliance, to speed up amendments to the relevant legislation.	28,578.00	
15	South Sudan	Regional Centre on Small Arms (RESCA)	Support towards accession to the Arms Trade Treaty (ATT) in the Republic of South Sudan	ATT awareness raising and development of strategy to guide South Sudan's efforts towards accession to the ATT.	86,199.00	
16	Togo	Permanent delegation of the African Union in Geneva	Incorporation of the Arms Trade Treaty (ATT) provisions into the domestic legal systems of the French-speaking African States Parties	Regional workshop to help States Parties in the region identify key elements of the ATT for incorporation into their national laws and building capacity of parliamentarians to domesticate the ATT.	269,315.00	233,316.00
17	Tuvalu	Centre for Armed Violence Reduction (CAVR)	Tuvalu ATT implementation	Review of Tuvalu's legislation to provide for ATT obligations; establishment of national control list; establishment of national database for arms transfer information and improvement of Tuvalu's interagency coordination.	87,840.00	
18	Vanuatu	Centre for Armed Violence Reduction (CAVR)	Strengthening inter-agency cooperation and reporting practices for ATT ratification	National capacity building workshops to facilitate ATT ratification and implementation; amendment of existing legislation to comply with ATT and installation of a database to store arms transfer information.	97,381.00	

19	Zambia (1)	Small Arms Survey	Building capacity to establish and maintain Zambia's national control list	Capacity building and technical knowledge to enable Zambia to adopt a national control list in fulfilment of Article 5 of the ATT.	48,000.00	
20	Zambia (2)	Small Arms Survey	Building capacity to fulfil Zambia's ATT reporting obligations	Capacity building to enable Zambia to submit its initial report and establish procedures to ensure the timely submission of annual reports, sharing lessons learned on developing national procedures for ATT reporting.	76,933.00	
Total					1,999,128.00	

ANNEX G. STATUS OF IMPLEMENTATION OF 2019 VTF PROJECTS



Issue by the ATT Secretariat
22 June 2020

STATUS OF 2019 CYCLE VTF PROJECTS, 22 JUNE 2020

No.	State	Grant Agreement signed	1 st instalment transferred	Interim Report received	2 nd instalment transferred	Final Report received	3 rd /Final instalment transferred	
1	Antigua and Barbuda	✓	✓	NA	NA	06-Dec-19	NA	
2	Burkina Faso	✓	✓	01-Dec-19	✓	29-Jun-20 (1)		
3	Chile	✓	✓	15-Feb-20	✓	13-Oct-20 (1)		
4	Côte d'Ivoire	✓	✓	NA	NA	31-Jan-20	NA	
5	Fiji	✓	✓	30-Apr-20		29-Nov-20 (1)		
6	Kazakhstan	✓	✓	NA	NA	30-Jun-20*		
7	Lebanon	✓	✓	Paused		Paused		
8	Madagascar	✓	✓	NA	NA	16-Dec-19	✓	
9	Mali	✓	✓	06-Jan-20	✓	29-Aug-20 (1)		
10	Mozambique	✓	✓	01-Jul-20 (1)		01-Oct-20 (1)		
11	Namibia	✓	✓	15-Jan-20	✓	29-Aug-20 (1)		
12	Palau	✓	✓	18-Jun-20*		29-Nov-20 (1)		
13	Serbia	✓	✓	11-Feb-20*		30-Aug-20 (1)		
14	Sierra Leone (1)	✓	✓	NA	NA	15-Jun-20* (1)		
15	South Sudan	✓	✓	02-Dec-19		29-Aug-20 (1)		
16	Togo	✓	✓	NA	NA	14-Jan-20	✓	
17	Tuvalu	WITHDRAWN						
18	Vanuatu	✓	✓	15-Mar-20		30-Nov-20 (1)		
19	Zambia (1)	✓	✓	31-Jan-20 (2)		31-Aug-20		

20	Zambia (2)	✓	✓	31-Jan-20 (2)		31-Aug-20	
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*Revised date

- (1) Projects suspended due to COVID-19 Outbreak
- (2) Interim Report still under consideration

ANNEX.H. 4TH VOLUNTARY TRUST FUND CYCLE (2020) : OVERVIEW OF PROJECTS PROVISIONALLY ¹APPROVED FOR ATT FUNDING

No.	Applicant State	Partner(s)	Project Title	Type of Implementation Assistance	Total Budget Approved (USD)(<i>tbc</i>)
1	Antigua and Barbuda	Coalition for Development and the Reduction of Armed Violence (CDRAV)	Implementing the UN Arms Trade Treaty - the CARICOM way	Regional workshop designed to: 1) identify and mobilize key state officials for strategic planning on reporting and, 2) identify and agree on a regional project to enable the implementation of the Treaty.	100,815
2	Botswana	Small Arms Survey	Building Capacity to fulfil the Republic of Botswana's ATT reporting obligations	Capacity building effort to enable ATT reporting in terms of establishment of reporting processes and procedures as well as submission of initial report and annual reports	79,702
3	Côte d'Ivoire	None	Interconnection of end-use weapons sites	Development of an integrated data management IT system for stockpile management and training of stockpile managers	98,119
4	Ghana	LEX EMPORIUM ATTORNEY @LAW	Adoption of a comprehensive harmonized legislation for the implementation of the ATT	Development and adoption of a comprehensive and harmonised single legislation for the implementation of the ATT in Ghana	99,826
5	Kenya	Regional Centre on Small Arms (RECSA)	ATT awareness raising and creation of momentum for Kenya's accession to the ATT	National workshop to raise awareness about the ATT and its implications with a view to building momentum for Kenya's accession to the ATT	95,604

¹ The projects are provisionally approved subject to Requests for Clarification and negotiations of Grant Agreements.

6	Madagascar	Controls Arms (Non-Violence International)	ATT Academy in the Indian Ocean Region	Regional workshop to build capacity of five Indian Ocean States (IOC) to implement the ATT	193,015
7	Nigeria	IANSAs Women Network Nigeria	Strengthening inter-agency cooperation for the Treaty implementation and reporting	National capacity building workshop to strengthen inter-agency cooperation to facilitate the implementation of the ATT and to enhance national capacity to meet its reporting obligations	95,102
8	Philippines	Nonviolence international Inc (NI)	Strengthening reporting and monitoring mechanisms on arms flows	Establishment of a database to compile data on best practices relating to diversion prevention and to facilitate monitoring and reporting on diversion incidents	99,831
9	Sierra Leone	None	Capacity building workshops for effective ATT implementation at regional level	Capacity building workshops on ATT implementation conducted at regional level	55,977
10	South Sudan	Regional Centre on Small Arms (RECSA)	Support towards operationalization of the National ATT Accession and Implementation Strategy for the Republic of South Sudan	Implementation of South Sudan's national ATT implementation strategy by undertaking the following activities: 1) review of national legislation, 2) development and adoption of national control list, 3) capacity building workshop for customs officials and, 4) capacity building workshop for parliamentarians on ATT domestication	99,524
11	Togo	None	Training workshops on the safe and secure management of weapons and ammunition stockpiles	Workshops to train Defense and security officers in the safe and secure management of weapons and ammunition stocks throughout the country to prevent diversion	53,716
12	Zambia	Small Arms Survey	Building capacity to draft Zambia's Conventional Arms Control Act to implement the ATT	Building of Zambia's legislative drafting capacity and preparation of Zambia's legislation to implement the ATT	93,197

Total	1,164,428
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Annex. I : VTF TASKS AND TIMELINE 2019/2020

Prepared by: the ATT Secretariat
22 June 2020

VTF DRAFT TIMELINE: 2019/2020

This draft timeline reflects major VTF milestones foreseen for the period between CSP5 and CSP6

	TASK	TIMELINE	RESPONSIBILITY
1.	VTF outreach meeting: New York	24 October 2019	ATT Secretariat VTF Chairperson
2.	Call for project proposals	16 October 2019 – 16 January 2020	ATT Secretariat VTF Chairperson
3.	VTF technical workshop: Geneva	17 December 2019	ATT Secretariat
4.	Deadline for submission of project proposals	16 January 2020	ATT Secretariat
5.	Advice to States Parties on financial contributions received	03 February 2020	ATT Secretariat VTF Chairperson
6.	Pre-screening of project proposals and preparation of shortlist	20 January – 20 March 2020	ATT Secretariat
7.	Applicants invited to consider feasibility of continuing with application amid COVID-19	25 March – 08 April 2020	ATT Secretariat
8.	VTF Chair's consultation with Selection Committee regarding changes to the VTF timeline	25 March 2020	VTF Chairperson ATT Secretariat
9.	Consideration of shortlist of applicants and options for	08 April 2020	ATT Secretariat VTF Chairperson

	continuing or postponing 2020 VTF cycle amid COVID-19		
10.	Circulation of shortlist of project proposals to Selection Committee	30 April 2020	ATT Secretariat
11.	Selection Committee meeting (held remotely): consideration and approval of successful project proposals	26 May 2020	Selection Committee ATT Secretariat
12.	Notification to applicants on the Selection Committee's final decision	15 June 2020	ATT Secretariat
13.	Request for clarification of approved proposals	15 June – 31 July 2020	ATT Secretariat Grant Recipients
14.	Finalisation of VTF report to CSP6 and circulation	17 July 2020	ATT Secretariat
15.	Contracting (Grant Agreement) between VTF and successful Grant Recipients	31 July – 31 December 2020	ATT Secretariat Grant Recipients
16.	Transfer of grants (1 st instalment)	06 January – 31 January 2021	Secretariat Grant Recipients
