

25 August 2023 Submitted by: the ATT Secretariat

Original: English

Arms Trade Treaty

Ninth Conference of States Parties
Geneva, 21 – 25 August 2023

FINAL REPORT

The Final Report of the Ninth Conference of States Parties to the Arms Trade Treaty, which took place from 21 – 25 August 2023 in Geneva, Switzerland, consists of three parts and an Annex as follows:

- I Introduction
- II Organization of the Conference
- III Decisions and Recommendations

Annex List of Documents

I. INTRODUCTION

- 1. The Arms Trade Treaty entered into force on 24 December 2014 in line with the provisions of Article 22(1) of the Treaty.
- 2. Article 17(1) of the Treaty states that "A Conference of States Parties shall be convened by the provisional Secretariat, established under Article 18, no later than one year following the entry into force of this Treaty and thereafter at such other times as may be decided by the Conference of States Parties". Article 17(4) (a-g) further states that "The Conference of States Parties shall:
 - (a) Review the implementation of this Treaty, including developments in the field of conventional arms;
 - (b) Consider and adopt recommendations regarding the implementation and operation of this Treaty, in particular the promotion of its universality;
 - (c) Consider amendments to this Treaty in accordance with Article 20;
 - (d) Consider issues arising from the interpretation of this Treaty;
 - (e) Consider and decide the tasks and budget of the Secretariat;
 - (f) Consider the establishment of any subsidiary bodies as may be necessary to improve the functioning of this Treaty; and
 - (g) Perform any other function consistent with this Treaty."
- 3. In accordance with the provisions of Article 17, the First, the Second, the Third, the Fourth, the Fifth, the Sixth, the Seventh and the Eighth Conferences of States Parties to the Arms Trade Treaty were held in Mexico, 24-27 August 2015, in Switzerland, 22-26 August 2016, and 11-15 September 2017 respectively, in Japan, 20-24 August 2018, in Switzerland, 26-30 August 2019, in written format 17-21

August 2020, and in Switzerland, 30 August-03 September 2021 and 22-26 August 2022. The Ninth Conference of States Parties was held in-person with a livestreaming option in Geneva, Switzerland, on 21-25 August 2023 in compliance with Article 17. The Conference was held at the Centre International de Conférences Genève (CICG).

- 4. To support the implementation of the Treaty at the national level, the Voluntary Trust Fund (VTF) established under Article 16(3), made further progress through disbursement of funds to Treaty implementation projects at a national level. To date, the VTF has funded 83 implementation projects in different regions. In the short period of its existence, the VTF has presented a useful ATT facility to support practical implementation of the Treaty.
- 5. The three ATT Working Groups established by the Third Conference of States Parties the Working Group on Effective Treaty Implementation, the Working Group on Transparency and Reporting and the Working Group on Treaty Universalization made further progress in their work. In the intersessional period of the Ninth Conference of States Parties, the three ATT Working Groups convened two series of meetings on 14-16 February and 09-11 May 2023, during which States Parties and other stakeholders exchanged information and views on practices and challenges related to Treaty implementation, transparency and reporting, and universalization.
- 6. To prepare for this Conference, two informal preparatory meetings were convened alongside meetings of the Working Groups on 17 February and 12 May 2023 in Geneva, Switzerland, the seat of the Secretariat to the Treaty.
- 7. The Secretariat convened the Conference in fulfilment of Article 17(1) of the Treaty. On 08 June 2023, pursuant to Rule 12 of the Rules of Procedure, the Secretariat notified States Parties, Signatory States and the Secretary-General of the United Nations, in his capacity as depositary of the Treaty, of the Conference, its date and venue. Furthermore, the Conference's draft provisional agenda was circulated on 21 June 2023 in accordance with Rule 15.1 of the Rules of Procedure and, on 21 July 2023, all the Conference documents were circulated and made publicly available on the Treaty website at https://www.thearmstradetreaty.org/conference-documents-csp9. For the third meeting of the ATT Diversion Information Exchange Forum (DIEF), established by the Sixth Conference of States Parties, the Secretariat circulated an invitation to all States Parties and Signatory States on 03 July 2023, in accordance with Rule 9 of the DIEF Terms of Reference. The draft agenda for the meeting was circulated to States Parties and Signatory States on 24 July 2023, in accordance with Rule 10 of the DIEF Terms of Reference.
- 8. As at 21 August 2023, the opening of the Conference, the Treaty had one hundred and thirteen (113) States Parties. Meanwhile the Treaty has twenty-eighth (28) Signatory States that have not yet deposited their instruments of ratification, acceptance or approval. On 18 July 2019, one of those Signatory States the United States of America notified the Secretary-General of the United Nations, acting in his capacity as depositary, that it does not intend to become a party to the treaty.

II. ORGANIZATION OF THE CONFERENCE

9. The Secretariat to the Treaty made arrangements and provided the necessary services for the Conference, including the preparation of this Report.

- 10. The Conference was held in Geneva, Switzerland, 21 25 August 2023 and was attended by 111 States, a number of international and regional organisations and representatives of civil society and industry.
- 11. Eighty-nine (89) States Parties participated in the work of the Conference in accordance with Rule 1 of the Rules of Procedure: Albania, Argentina, Australia, Austria, Bahamas, Barbados, Belgium, Belize, Benin, Bosnia and Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Cameroon, Canada, Chad, Chile, China, Costa Rica, Côte d'Ivoire, Croatia, Cyprus, Czech Republic, Denmark, Dominican Republic, El Salvador, Estonia, Finland, France, Georgia, Germany, Ghana, Greece, Guatemala, Guyana, Honduras, Hungary, Ireland, Italy, Jamaica, Japan, Kazakhstan, Latvia, Lebanon, Lesotho, Liberia, Lithuania, Luxembourg, Madagascar, Maldives, Mali, Malta, Mauritius, Mexico, Montenegro, Namibia, Netherlands, New Zealand, Niger, Nigeria, Norway, Palau, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Republic of Korea, Republic of Moldova, Romania, Samoa, San Marino, Senegal, Serbia, Sierra Leone, Slovakia, Slovenia, South Africa, Spain, State of Palestine, Sweden, Switzerland, Togo, Trinidad and Tobago, United Kingdom of Great Britain and Northern Ireland, Uruguay, and Zambia.
- 12. Eighteen (18) Signatory States participated in the work of the Conference in accordance with Rule 2 of the Rules of Procedure: Angola, Bahrain, Burundi, Cambodia, Comoros, Eswatini, Haiti, Israel, Kiribati, Libya, Malawi, Malaysia, Singapore, Thailand, Türkiye, United Arab Emirates, United Republic of Tanzania, and United States of America.
- 13. The following four (4) States participated in the work of the Conference as observers in accordance with Rule 3 of the Rules of Procedure: Fiji, Gambia, Pakistan, and Somalia.
- 14. The following nine (9) organisations participated in the work of the Conference as observers in accordance with Rule 4 of the Rules of Procedure: African Union, European Union, International Committee of the Red Cross (ICRC), Regional Centre on Small Arms and Light Weapons in the Great Lakes Region, the Horn of Africa and Bordering States (RECSA), United Nations Office on Drugs and Crime (UNODC), United Nations Institute for Disarmament Research (UNIDIR), United Nations Office for Disarmament Affairs (UNODA), Wassenaar Arrangement, and World Health Organization (WHO).
- 15. The following forty-three (43) civil society organizations, including NGOs, international coalitions of NGOs, associations representing industry and implementing agencies, participated in the work of the Conference as observers in accordance with Rule 5.1 and 5.2 of the Rules of Procedure: Action Sécurité Ethique Républicaines (ASER), Aerospace Industries Association (AIA), Aerospace, Security and Defence Industries Association of Europe (ASD), Association of European Manufacturers of Sporting Ammunition (AFEMS), Associazione Nazionale Produttori Armi e Munizioni Sportive e Civili (ANPAM), Bonn International Centre for Conflict Studies (BICC), Bundesamt für Wirtschaft und Ausfuhrkontrolle (BAFA), Canada's National Firearms Association (NFA), Centre for Armed Violence Reduction (CAVR), Commission Indépendante des droits de l'Homme Africa (CIDH Africa), Conflict Armament Research (CAR), the Control Arms Coalition with representatives from the following NGOs: Act Change Transform, Africa Centre for Security, Governance and Research, African Council Of Religious Leaders - Religion For Peace, Amnesty International, Amnesty International France, App-Sehlac, Arms Control Association, Assistance Mission For Africa (AMA), Cameroon Youths and Students Forum for Peace (CAMYOSFOP), Caribbean Coalition for Development and the Reduction of Armed Violence (CDRAV), Centre de Formation et de Développement des Ex-Combattants (CEDAC), Center for Peace Education-Miriam College, Centre For Peace Research and Advocacy (CPRA), Centro De Estudios Ecuménicos, Colombian Campaign to Ban Landmines, Control Arms, FORMICRES, Fundación Arias Para La Paz Y El Progreso Humano, Global Thought Mx, Indian Institute for

Peace, Disarmament and Environmental Protection, Kikandwa Rural Communities Development Organization, Kingston and St Andrew Action Forum (KSAAF), Liberia Action Network On Small Arms, Mécanisme pour l'Initiative de la Recherche de la Paix et le Développement (MI-RPD). Mwatana of Human Rights, PAX, Peoples Federation for National Peace and Development (PEFENAP), Permanent Peace Movement, Project Ploughshares, Recherches et Documentation Juridiques Africaines (RDJA), Regional Centre for International Development Cooperation (RCIDC), Réveil Communautaire d'Assistance aux Victimes (RECOVI), Saferworld, Security Research and Information Centre (SRIC), South Sudan Action Network On Small Arms (SSANSA), Stimson Center, Transcend Pilipinas, Uganda Action Network On Small And Light Weapons (UANSAS), Women For Peace And Democracy Nepal, and Women's Right to Education Programme (WREP). Further participation came with Expertise France, Firearms and Ammunition Import/Export Roundtable (F.A.I.R.), Flemish Peace Institute, Gender Equality Network for Small Arms Control (GENSAC), Geneva Centre for Security Policy (GCSP), Geneva International Centre for Humanitarian Demining (GICHD), Global Initiative Against Transnational Organized Crime (GI-TOC), Global Network for Human Development, Group for Research and Information on Peace and Security (GRIP), IM Swedish Development Partner, International Action Network on Small Arms (IANSA), Jatikay Centre for Human Security and Peace Building, Liberians United to Expose Hidden Weapons (LUEHW), Maat for Peace, Development and Human Rights, Mines Advisory Group (MAG), National Association for Gun Rights, National Rifle Association-Institute for Legislative Action (NRA-ILA), National Shooting Sports Foundation (NSSF), One Goal Initiative for Governance, Parliamentary Forum on Small Arms and Light Weapons (PFSALW), Quaker United Nations Office (QUNO), Release Peace, Small Arms Survey (SAS), Sporting Arms and Ammunition Manufacturers' Institute (SAAMI), Stockholm International Peace Research Institute (SIPRI), Terra Renaissance, The HALO Trust, Topzawe Foundation for Genocide Studies and Research, United Nations Panel of Experts on Somalia (UNPOES), University of Washington (School of Law), and Women's International League for Peace and Freedom (WILPF).

- 16. In reference to Rule 7.4 of the Rules of Procedure, the Secretariat circulated a draft List of **Participants** all States **Parties** 18 August 2023, contained in to on document ATT/CSP9/2023/SEC/772/Conf.PartList, informing them of the composition of all delegations that have registered as Participants to the Conference under Rules 1 and 2 as well as those that have registered to attend as observers under Rules 3, 4, and 5, and requesting any possible objection by a State Party against the representation of a delegation of a State Party, Signatory State or observer at the Conference to be presented to the President no later than 10:00 local time, Saturday 20 August 2023.
- 17. At its opening session, the Conference was opened by His Excellency, Mr. Jin PARK, Minister of Foreign Affairs, Republic of Korea, via a video message. The Conference received a video message from H.E. Ms. Izumi NAKAMITSU, Under-Secretary-General and UN High Representative for Disarmament Affairs.
- 18. During the same session, statements were delivered to the Conference by Mr. Gilles CARBONNIER, Vice President, International Committee of the Red Cross, and Ms. Bianca PABOTOY, Gender and Disarmament Associate, Center for Peace Education-Miriam College (Control Arms).
- 19. The thematic discussion on the Role of Industry in Responsible International Transfers of Conventional Arms took place after the opening session. The panel was composed by Ms. Eunjoo LEE, Director of Export Control and Sanctions Division, Ministry of Foreign Affairs, Republic of Korea; Ms. Aureliana NEACSU, Head of Conventional Arms Unit, Department for Export Controls (ANCEX), Ministry of Foreign Affairs, Romania; Mr. Spencer CHILVERS, Head of Export Control Policy, Rolls-Royce; Mr. Ronald THOMASON, Vice-President, Strategic Programs, Maritime Security Council; Ms. Anita RAMASASTRY,

Professor of University of Washington School of Law and Former Member of UN Working Group on Business and Human Rights.

III. DECISIONS AND RECOMMENDATIONS

- 20. At its first plenary session on 21 August 2023, under item 2, the Conference adopted its Agenda referenced ATT/CSP9/2023/SEC/759/Conf.Agenda.
- 21. At the same plenary session and in accordance with Rule 10 of the Rules of Procedure, the Conference confirmed Mr. Dumisani DLADLA, in his capacity as the Head of the Secretariat, as the Secretary of the Conference.
- 22. The Conference welcomed the thematic discussion on the Role of Industry in Responsible International Transfers of Conventional Arms, the priority theme for the Conference, that explored how this subject could be articulated in the context of the ATT, and where appropriate to national context. To that end, the Conference considered the recommendations and suggestions in the Working Paper submitted by the CSP9 President "The Role of Industry in Responsible International Transfers of Conventional Arms" contained in document ATT/CSP9/PRES/766/Conf.WP.Ind and took note with appreciation of the joint working paper_submitted by Austria, Ireland and Mexico "Responsible Business Conduct and the Arms Trade Treaty", contained in document ATT/CSP9/2023/AUT-IRL-MEX/774/Conf.WP. Having examined different aspects of the role of industry in responsible international transfers of conventional arms in the context of the ATT, the Conference decided that:
 - a. Each CSP President, in cooperation with the ATT Secretariat, is encouraged to include industry in outreach activities.
 - b. States Parties, the ATT Secretariat and other interested parties are encouraged to engage industry and share industry-relevant information on the ATT and developments, using the website and other appropriate measures.
 - c. The Conference welcomes the UN Guiding Principles on Business and Human Rights (UNGP) and encourages States Parties and other stakeholders to continue discussions on how the UNGP, Human Rights and international humanitarian law instruments apply in the context of the Arms Trade Treaty, as appropriate.
 - d. States Parties and all interested stakeholders are encouraged, where appropriate, to continue and deepen discussions on the role of industry in responsible international transfers of conventional arms and share their experiences and practices of effective measures including existing processes, guidance and related materials, and written guidance materials relating to national efforts to ensure industry awareness and compliance with national transfer control systems.
 - e. States Parties are encouraged to take necessary steps to ensure that industry and private sector entities operating under their national jurisdiction conduct their business consistent with the object and purpose of the Treaty.
 - f. The Conference calls on working group chairs to allocate time in their meetings, as appropriate, to discuss the role of industry in responsible international transfers of

conventional arms, and to report to the CSP, and for CSP Presidents to dedicate time at the CSP for discussion of the issue.

- g. States Parties are encouraged to develop, as a living document to be reviewed and updated regularly, as appropriate, a list of possible reference documents pertaining to responsible business conduct with respect to international trade in conventional arms to be considered by States Parties, for ensuring industry compliance with national control systems to implement the ATT and conduct responsible international transfers of conventional arms. Such documents could also contain possible reference documents that provide guidance and support to industry efforts to conduct risk assessments taking into consideration ATT Articles 6, 7(1), 7(4), and 11(2).
- h. States Parties, the ATT Secretariat and other interested parties are encouraged to invite representatives of industry and private sector entities engaged in the international arms trade to share information that may support effective treaty implementation as well as developments in the field of conventional arms and conventional arms trade during CSP working group sessions, preparatory meetings, and side events, as appropriate, including concrete steps and human rights due diligence (HRDD) processes implemented by industry that contribute to supporting ATT implementation.
- 23. Acknowledging the importance of universal adherence to the Treaty, the Conference welcomed all Treaty universalization efforts undertaken, in particular those of the President of the Ninth Conference of States Parties, Ambassador Seong-mee YOON. To take forward Treaty universalization, acknowledging and building on efforts by successive Presidents, the Conference considered the Working Group on Treaty Universalization Co-Chairs' Draft Report to CSP9, contained in document ATT/CSP9.WGTU/2023/CHAIR/769/Conf.Rep, and:
 - a. Adopted the proposal on "Enhancing the Work of the ATT Working Group on Treaty Universalization (WGTU)" (Annex A to the Co-Chairs' report), and accepted the recommendations set out in the proposal in terms of:
 - i. endorsing the proposed approach of undertaking Treaty universalization efforts in a multi-year format.
 - ii. mandating the WGTU to focus its efforts primarily on Signatory States as they have already demonstrated political commitment to the Treaty, while remaining open and engaged with other States interested in joining the Treaty at any time, as well as taking into account developments relevant to the objectives of the Treaty.
 - iii. endorsing the additional role for the vice-Presidents as regional facilitators, supported by States Parties that wish, on a voluntary basis, to act as "Regional Champions" and share their experience in joining the Treaty.
 - iv. endorsing the proactive approach of the ATT Secretariat to make best use of established ATT supporting mechanisms.

- v. noting the need to coordinate, compile and disseminate information necessary to support Treaty universalization efforts.
- b. Tasked the WGTU to further elaborate details, as appropriate, regarding universalization efforts including a possible role of industry during the CSP10 cycle.
- c. Encouraged the continuation of regular exchanges of views between the CSP President, WGTU Co-Chairs, Chairs and Facilitators of ATT subsidiary bodies, states and civil society on the promotion of ATT universalization.
- 24. The Conference underscored the importance of effective Treaty implementation in advancing the object and purpose of the Treaty, and considered the Working Group on Effective Treaty Implementation Chair's Draft Report to CSP9, contained in document <a href="https://docs.ncbi.nlm
 - a. Note that draft Chapter 2 (Prohibitions) of the proposed Voluntary Guide to implementing Articles 6 & 7 has been completed as a living document of a voluntary nature, to be reviewed and updated by the WGETI, as appropriate (Annex A to the Chair's report), and to reiterate that when the elaboration of the foreseen draft chapters of the proposed Voluntary Guide to implementing Articles 6 and 7 is concluded, the proposed Voluntary Guide should be submitted to Conference for endorsement.
 - b. Endorse the proposed Voluntary Guide to implementing Article 9 as a living document of a voluntary nature, to be reviewed and updated by the Working Group, as appropriate (Annex B to the Chair's report).
 - c. Encourage States Parties to keep transit and trans-shipment as an important topic of attention, whenever cross-cutting issues such as enforcement and international cooperation are explored further in the Working Group.
 - d. Endorse the document entitled "post-delivery cooperation: operational steps for the introduction and implementation of post-shipment control" as an Annex to the document with Possible Measures to Prevent and Address Diversion and as living document of a voluntary nature, to be reviewed and updated by the WGETI, as appropriate (Annex C to the Chair's report), and to encourage exporting and importing States Parties to cooperate on the basis of mutual trust to prevent diversion.
 - e. Encourage States Parties to keep diversion as an important topic of attention in all future discussions in the Working Group and to increase the exchange of diversion-related information in the Diversion Information Exchange Forum.
 - f. Adopt the draft proposal on the WGETI configuration and substance (Annex D to the Chair's report) and to mandate the Working Group to develop a multi-year workplan for its structured discussions, based on priority stages/phases of implementation, and initiate its discussions on practical Treaty implementation.
 - g. Encourage States Parties to keep the risk of conventional arms being used to commit or facilitate serious acts of gender-based violence or serious acts of violence against women

- and children as an important topic of attention and to initiate the discussion and exchange of information and good practices on this topic in the CSP10 cycle
- h. Take note of working papers presented in this regard by Argentina¹ and by Mexico, Spain and Small Arms Survey².
- 25. Regarding the activities of the Working Group on Effective Treaty Implementation to be undertaken in the intersessional period of the Tenth Conference of States Parties, the Conference noted that the Facilitator of the Sub-working Group on Articles 6 and 7 will begin his work on a list of possible draft elements for Chapter 3 (Article 7 Export and Export Assessment), derived from national presentations and the views exchanged during the discussions held so far during the meetings of the Sub-working Group, for presentation to the first meeting of the Sub-working Group in the CSP10 cycle in 2024. In parallel, the Working Group will commence discussions on the multi-year workplan for its structured discussions of the Working Group and initiate its discussions on practical Treaty implementation. Concerning the Sub-working Groups on Article 9 and Article 11, the Conference noted that these Sub-working Groups have ended their work and thanked all States Parties who have facilitated the work of these Sub-working Groups since their establishment.
- 26. The Conference emphasized the significance of transparency and reporting as highlighted in the overview presentation by the ATT Secretariat on the current status of reporting under the Treaty. The Conference considered the Working Group on Transparency and Reporting interim Chair's Draft Report to CSP9, contained in document ATT/CSP9.WGTR/2023/CHAIR/768/Conf.Rep, and:
 - a. Recalled that transparency is a key purpose of the Treaty, which States Parties need to observe in their national implementation of Treaty obligations, as well as their exchanges and initiatives within the ATT process.
 - b. Reiterated that reporting is a fundamental legal obligation of the Treaty, and that submitting initial and annual reports is an indicator of the commitment of a State Party to the Treaty.
 - c. Expressed its concern for the continuing low rate of compliance with the reporting obligations.
 - d. Urged States Parties that are not fully compliant with their reporting obligations to submit their reports or, in case of difficulty to do so, to make use of the available assistance mechanisms in order to achieve full compliance with the Treaty's reporting obligations.
 - e. Encouraged all relevant stakeholders to continue to implement the Outreach Strategy on Reporting that was adopted at CSP4, and to use all available means to actively engage with States Parties that are not fully compliant with the reporting obligations, in order to raise awareness on the mandatory nature of reporting and to provide assistance upon request.

¹ https://www.thearmstradetreaty.org/hyper-images/file/ATT CSP9 Argentina%20Working%20Paper%20on%20GBV EN/ATT CSP9 Argentina%20Working%20Paper%20on%20GBV EN.pdf.

² https://www.thearmstradetreaty.org/hyper-images/file/Mexico%20Spain%20Small%20Arms%20Survey%20ATT%20CSP9%20SOGIESC%20Working%20Paper/Mexico%20Spain%20Small%20Arms%20Survey%20ATT%20CSP9%20SOGIESC%20Working%20Paper.pdf.

- f. Expressed its continuing support for the project of voluntary bilateral and regional assistance with reporting (peer-to-peer), including the voluntary regional reporting champions initiative, and requested the ATT Secretariat to develop this project further;
- g. Encouraged States Parties and signatory States to register online for access to the IT platform and make use of the information exchange platform.
- h. Endorsed the mandate for the WGTR in the period between CSP9 and CSP10, as included in Annex A to the interim Chair's Draft Report and highlighted the need for the Working Group to explore possibilities of aligning its work with the mainstream work of WGETI.
- 27. The Conference welcomed the third meeting of the Diversion Information Exchange Forum (DIEF) as a continuous opportunity for States Parties and Signatory States to exchange information on concrete cases of detected or suspected diversion and share concrete, operational diversion-related information, and noted the oral briefing by the DIEF Chair. The Conference emphasized the importance of information-sharing contemplated in Articles 11 and 15 of the Treaty, and urged all States Parties and Signatory States to consider presenting cases of diversion at forthcoming DIEF meetings to be organized by the CSP10 President in accordance with the DIEF Terms of Reference. In view of the decision of CSP7 to review the usefulness of the DIEF at the first CSP following two cycles of DIEF meetings, the Conference also decided to task the DIEF to review the usefulness of its meetings and its Terms of Reference, and to submit a report to the Tenth Conference of States Parties for a decision.
- 28. Recognising the importance of the ATT Sponsorship Programme in facilitating broad participation in Conferences of States Parties and meetings of the Working Groups, the Conference welcomed the report on the state of operation of the ATT Sponsorship Programme, as contained in document https://docs.ncb/ATT/CSP9/2023/SEC/763/Conf.SponProgRep. The Conference expressed appreciation to the States that have made voluntary financial contributions to the ATT Sponsorship Programme and encouraged all other States, in a position to do so, to contribute to the Programme.
- 29. The Conference re-emphasized the importance of the ATT Voluntary Trust Fund (VTF), a facility established under Article 16(3) of the Treaty to give effect to international assistance in support of Treaty level. implementation at а national **Noting** the Report contained document ATT/VTF/2023/CHAIR/770/Conf.Rep, the Conference welcomed the work of the VTF undertaken in the 2022-2023 period, as well as the fact that the VTF will undertake further outreach activities in the period leading up to CSP10. The Conference also noted the VTF evaluation report for the 2017 completed projects and welcomed the fact that the VTF will continue with the evaluation of completed projects and report to CSP10. To encourage more applications for VTF support from across different regions, the Conference welcomed the extension of the duration of calls for proposals, starting from September - mid-January of each cycle. Conference again expressed appreciation to the States that have made voluntary financial contributions to the Fund and encouraged all other States, in a position to do so, to contribute to the Fund in support of effective national Treaty implementation and Treaty universalisation.
- 30. The Conference welcomed the report submitted by the ATT Secretariat for the 2022/2023 period contained in document ATT/CSP9/2023/SEC/762/Conf.SecRep.
- 31. The Conference also approved the ATT Secretariat provisional budget for the year 2024 as contained in document ATT/CSP9/2023/SEC.FIN/758/Conf.2024Bud.

- 32. The Conference welcomed the report submitted by the Management Committee contained in document ATT/CSP9.MC/2023/MC/764/Conf.Rep.
- 33. In view of the need to start a recruitment process for the new Head of the ATT Secretariat, the Conference established an Evaluation Committee consisting of members of the Management Committee and ATT vice-Presidents to proceed with a merit-based recruitment process to secure a suitable candidate to be appointed at CSP10.
- 34. In reference to decisions of previous Conferences of States Parties regarding ATT financial contributions, the Conference, once again, expressed deep concern about the unpaid contributions of States and called on States that have not done so to address their financial obligations in a prompt and timely manner, and make use of the financial mechanism for the settlement of arrears. The Conference highlighted the risks that the ATT process and its essential activities, including the organization of future ATT meetings, will face if the situation is not addressed.
- 35. The Conference considered the proposal submitted by the Management Committee concerning the review the ATT programme of work as mandated by CSP8, contained in document https://csp9.mc/2023/mc/765/conf.Prop. Taking into account the current status of operation of the ATT in terms of membership, implementation challenges, congested disarmament calendar, constrained capacity of delegations, and rigour of foreseen ATT discussions, the Conference decided, on trial basis for one year, to hold in 2024:
 - a. One in-person session of four days of ATT Working Groups per cycle, with livestream option; and
 - b. One in-person session of two days of CSP preparatory meetings per cycle, with hybrid option. To avoid duplication, this meeting should be separate from the meeting of the ATT Working Groups.
- 36. The Conference also decided to task the Management Committee to review the revised ATT programme of work in line with the decisions contained in paragraph 35, and to report its assessment and recommendations, including whether to maintain elements of the trial, previous methods of work or new proposals and the opportunity to supplement the programme of work with informal consultations to the Tenth Conference of States Parties for a decision.
- 37. The Conference decided to hold its next formal annual session, the Tenth Conference of States Parties, in Geneva, Switzerland on 19-23 August 2024, at the Centre International de Conférences Genève (CICG), a venue generously provided for by the Swiss government. Furthermore, the Conference decided that the informal preparatory meetings and the meetings of the Working Groups will also be held in Geneva, Switzerland, with dates to be confirmed by the President of the Tenth Conference of States Parties.
- 38. Pursuant to Article 17(3) of the Treaty, the Conference adopted the budget for the Tenth Conference of States Parties, submitted by the ATT Secretariat as contained in document https://docs.py/ATT/CSP9/2023/SEC.FIN/758/Conf.2024Bud.Rev1, including costs for the informal preparatory meetings and meetings of the ATT Working Groups. The Conference further decided that costs for informal preparatory and Working Groups meetings shall include costs for document translation and in-session

interpretation. The Conference emphasised that any indirect meeting costs, such as for travel and accommodation, shall be borne by participants.

- 39. Pursuant to Rule 9.1 of the Rules of Procedure, the Conference elected, by acclamation, the Permanent Representative of Romania to the United Nations Office in Geneva and the international Organizations in Switzerland, Ambassador Razvan RUSU, as the President of the Tenth Conference of States Parties.
- 40. Pursuant to the same Rule, the Conference elected, by acclamation, Australia, Japan, Namibia and Paraguay as the four (4) vice-Presidents for the Tenth Conference of States Parties.
- 41. Pursuant to Section 3 of the Management Committee's Terms of Reference, the Conference appointed the following State Party representatives designated by each UN regional group, as members of the Management Committee to serve for a period of two years up to the Eleventh Conference of States Parties: China, Latvia, the United Kingdom, and appointed Panama to serve for a period of one year up to the Tenth Conference of States Parties, and Peru to serve for a period of one year up to the Eleventh Conference of States Parties. The Conference decided that the African Group nominates a State Party as a member of the Management Committee and submit the nomination to the CSP10 President by no later than 25 October 2023 for adoption by States Parties via silence procedure.
- 42. Pursuant to Article 17(4)(f) of the Treaty and Rule 42 of the Rules of Procedure, the Conference mandated the President of the Tenth Conference of States Parties to appoint the Chairs of the ATT Working Groups for a period until the conclusion of the Tenth Conference of States Parties.
- 43. The Conference warmly thanked Ambassador Seong-mee YOON of the Republic of Korea for her dedication and for the outstanding work done as President of the Ninth Conference of States Parties in advancing the interests of the Treaty, supporting States, and skilfully leading the intersessional work and conference discussions, which resulted in a successful Conference.
- 44. At its last plenary meeting on Friday, 25 August 2023, the Conference adopted its Final Report contained in document ATT/CSP9/2023/SEC/773/Conf.FinRep.Rev1 and as orally amended to be issued as document ATT/CSP9/2023/SEC/773/Conf.FinRep.Rev2.

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ANNEX

LIST OF DOCUMENTS

ATT/CSP9/2023/SEC.FIN/758/Conf.2024Bud	ATT Provisional budget estimates for the financial year 2024, submitted by the Secretariat
ATT/CSP9/2023/SEC.FIN/758/Conf.2024Bud.Rev1	ATT Provisional budget estimates for the financial year 2024, submitted by the Secretariat
ATT/CSP9/2023/SEC/759/Conf.Agenda	CSP9 Provisional Agenda, submitted by the President
ATT/CSP9/2023/SEC/760/Conf.PoW	CSP9 Provisional Programme of Work, submitted by the President
ATT/CSP9/2023/SEC/761/Conf.AnnPoW	CSP9 Provisional Annotated Programme of Work, submitted by the President
ATT/CSP9/2023/SEC/762/Conf.SecRep	Report on the ATT Secretariat's activities for the period 2022/2023, submitted by the Secretariat
ATT/CSP9/2023/SEC/763/Conf.SponProgRep	Report on the ATT Sponsorship Programme for the period 2022/2023, submitted by the ATT Secretariat as administrator of the ATT sponsorship programme
ATT/CSP9.MC/2023/MC/764/Conf.Rep	Report on the Management Committee's activities for the period 2022/2023, submitted by the Management Committee
ATT/CSP9.MC/2023/MC/765/Conf.Prop	Draft proposal on the review of the ATT Programme of Work
ATT/CSP9/2023/Pres/766/Conf.WP.Ind	Draft Working Paper: The Role of Industry in Responsible International Transfers of Conventional Arms, presented by the President of the Ninth Conference of State Parties to the ATT
ATT/CSP9.WGETI/2023/CHAIR/767/Conf.Rep	ATT Working Group on Effective Treaty Implementation – Chair's Draft Report to CSP9
ATT/CSP9.WGTR/2023/CHAIR/768/Conf.Rep	ATT Working Group on Transparency and Reporting - Co-chairs' Draft Report to CSP9
ATT/CSP9.WGTU/2023/CHAIR/769/Conf.Rep	ATT Working Group on Treaty Universalization - Co-chairs' Draft Report to CSP9

ATT/VTF/2023/CHAIR/770/Conf.Rep	Report on the work of the ATT Voluntary Trust Fund (VTF) for the period August 2022 to August 2023, submitted by the Chairperson of the VTF Selection Committee
ATT/CSP9/2023/SEC/772/Conf.PartList	draft List of Participants, submitted by the Secretariat
ATT/CSP9/2023/SEC/772/Conf.PartList.Rev1	forthcoming, List of Participants, submitted by the Secretariat
ATT/CSP9/2023/SEC/773/Conf.FinRep	draft Final Report, submitted by the Secretariat
ATT/CSP9/2023/SEC/773/Conf.FinRep.Rev1	draft Final Report, submitted by the Secretariat
ATT/CSP9/2023/SEC/773/Conf.FinRep.Rev2	Final Report, submitted by the Secretariat

Working Papers submitted to CSP9

<u>Joint Working Paper submitted by Austria, Ireland and Mexico: "Responsible Business Conduct and the Arms Trade Treaty"</u>

Documents submitted to CSP9 under Rule 5.1 of the ATT Rules of Procedure

Mexico, Small Arms Survey and Spain – "Mitigating the risk of armed violence against people on the basis of their actual or perceived sexual orientation, gender identity, gender expression and sex characteristics (SOGIESC) through the Arms Trade Treaty (ATT)"

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