



Working Group Meetings of the Twelfth Conference of States Parties
to the Arms Trade Treaty

Working Group on Effective Treaty Implementation
Sub-Working Group on Exchange of National Implementation Practices

National Control System - Brokering

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United Nations and other International Organizations in Geneva

Thank you, Mr. Facilitator.

Our delegation welcomes the convening of this discussion on brokering controls and the opportunity to share our national experience, while drawing from the best practices and practical approaches of other States Parties in implementing such controls.

The Philippines established a competent national authority responsible for administering strategic trade management systems under our Strategic Trade Management Act (STMA), named the Strategic Trade Management Office (STMO). The STMO implements regulatory oversight of activities related to the export, import, transit, transshipment, re-export, and reassignment of strategic goods, as well as the provision of related services, including brokering, financing, technical assistance, and transporting.

The STMA defined brokering as activities such as:

(a) negotiating or arranging transactions that involve the shipment of strategic goods from one foreign country to another foreign country; or



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b) buying or selling strategic goods located outside the Philippines with the intent to facilitate their transfer between foreign jurisdictions.

The Philippines' regulatory measures apply to any natural or juridical person operating within the Philippines and Filipino persons wherever located, thereby extending regulatory coverage to Philippine nationals conducting brokering outside the country. In practice, brokering may be undertaken by commercial intermediaries, trading companies, logistics providers, and other entities that facilitate international transfers of controlled items.

Entities engaging in strategic trade activities are subject to registration and authorization requirements administered through Stratlink, the Philippines' e-licensing platform, which serves as the central system for all strategic trade management or export control matters. Stratlink facilitates secure digital licensing, supports information-sharing among relevant government agencies, and strengthens end-user screening and compliance monitoring. Applicants seeking authorization are required to provide relevant documentation and information, including the classification of the goods, details of the parties to the transaction, end-use and end-user statement, and supporting commercial or shipping documentation.

Licensing assessments and decisions are being conducted by the Philippines' STMO. Where necessary, these assessments are supported through inter-agency coordination mechanisms involving relevant government agencies responsible for foreign affairs, national defense, customs, science and technology, intelligence, and national security. This whole-of-government approach aims to strengthen the Philippines' capacity to implement its strategic trade management system effectively.



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Authorization applications are evaluated through a structured risk-assessment process that incorporates the criteria set out in Articles 6 and 7 of the Arms Trade Treaty. In this process, the STMO first ensures that proposed transactions are not prohibited under Article 6, and then conducts a comprehensive assessment consistent with Article 7. This includes vetting the parties involved using available screening tools, verifying the legitimacy of the end-user and end-use, evaluating risks of diversion to an unauthorized end-user or end-use, and broader national security and foreign policy considerations. To support objective assessment and decision-making, the STMO has developed a risk-assessment matrix to evaluate license applications.

Beyond national implementation, the Philippines also places strong emphasis on regional cooperation in strengthening responsible trade management. As the ASEAN Chair for 2026, the Philippines is advancing a priority economic deliverable on strategic trade management through the ASEAN Leaders' Declaration on Strategic Trade Management for Secure Regional Trade. This initiative seeks to encourage greater alignment among ASEAN Member States in establishing effective strategic trade management or export control systems and strengthening the security of regional supply chains.

We remain committed to continuously strengthening our national implementation framework and to sharing experiences with other States Parties in support of the effective implementation of the Arms Trade Treaty.

Thank you.